



# **Service Limit State Design for Bridges**

## ***Background Information on the Proposed Geotechnical Revisions to AASHTO LRFD***

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*October 28, 2015*



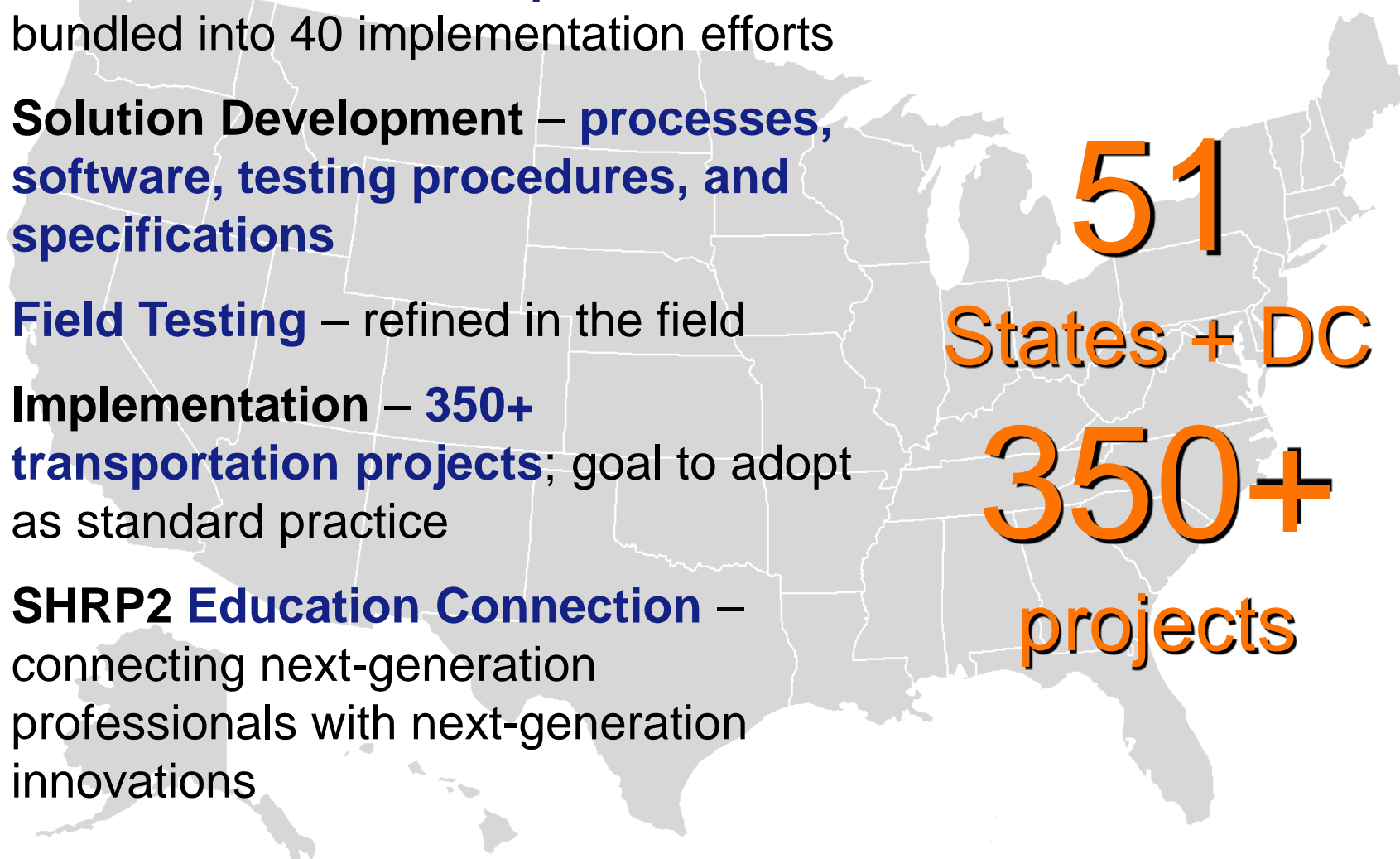
U.S. Department of Transportation  
Federal Highway Administration

AMERICAN ASSOCIATION  
OF STATE HIGHWAY AND  
TRANSPORTATION OFFICIALS

**AASHTO**

# SHRP2 Implementation

- **SHRP2 Solutions – 63 products**  
bundled into 40 implementation efforts
- **Solution Development – processes, software, testing procedures, and specifications**
- **Field Testing** – refined in the field
- **Implementation – 350+ transportation projects**; goal to adopt as standard practice
- **SHRP2 Education Connection** – connecting next-generation professionals with next-generation innovations

A light gray map of the United States is positioned in the background of the right side of the slide. Overlaid on the map are large orange numbers and text. The number '51' is positioned over the Northeast, with 'States + DC' written below it. The number '350+' is positioned over the Southeast, with 'projects' written below it.

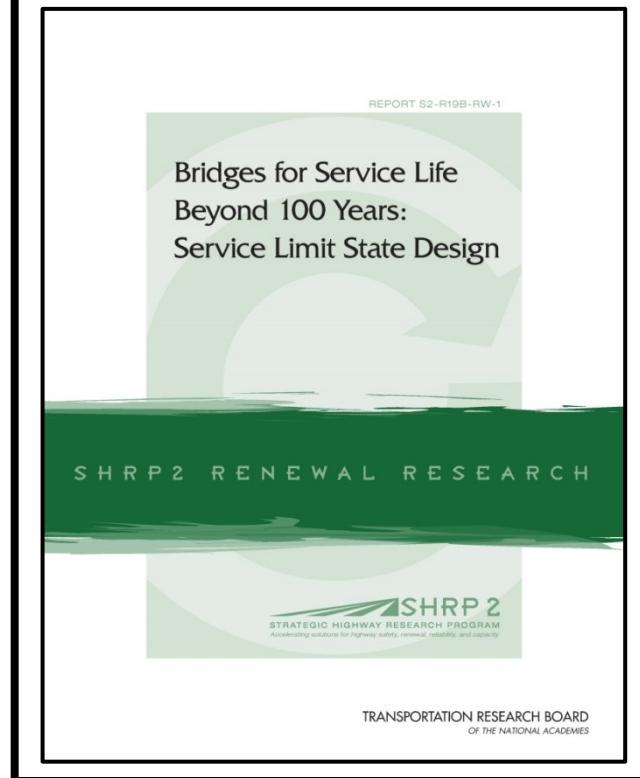
**51**  
**States + DC**

**350+**  
**projects**

# Initial SHRP2-TRB Research Team for R19B

- Modjeski and Masters (M&M)
  - John M. Kulicki (Principal Investigator)
  - Wagdy G. Wassef (formerly M&M)
- University of Delaware (UD)
  - Dennis R. Mertz
- University of Nebraska – Lincoln (UNL)
  - Andrzej S. Nowak (now at Auburn)
- NCS Consultants, LLC (NCS)
  - Naresh C. Samtani

## Report S2-R19B-RW-1

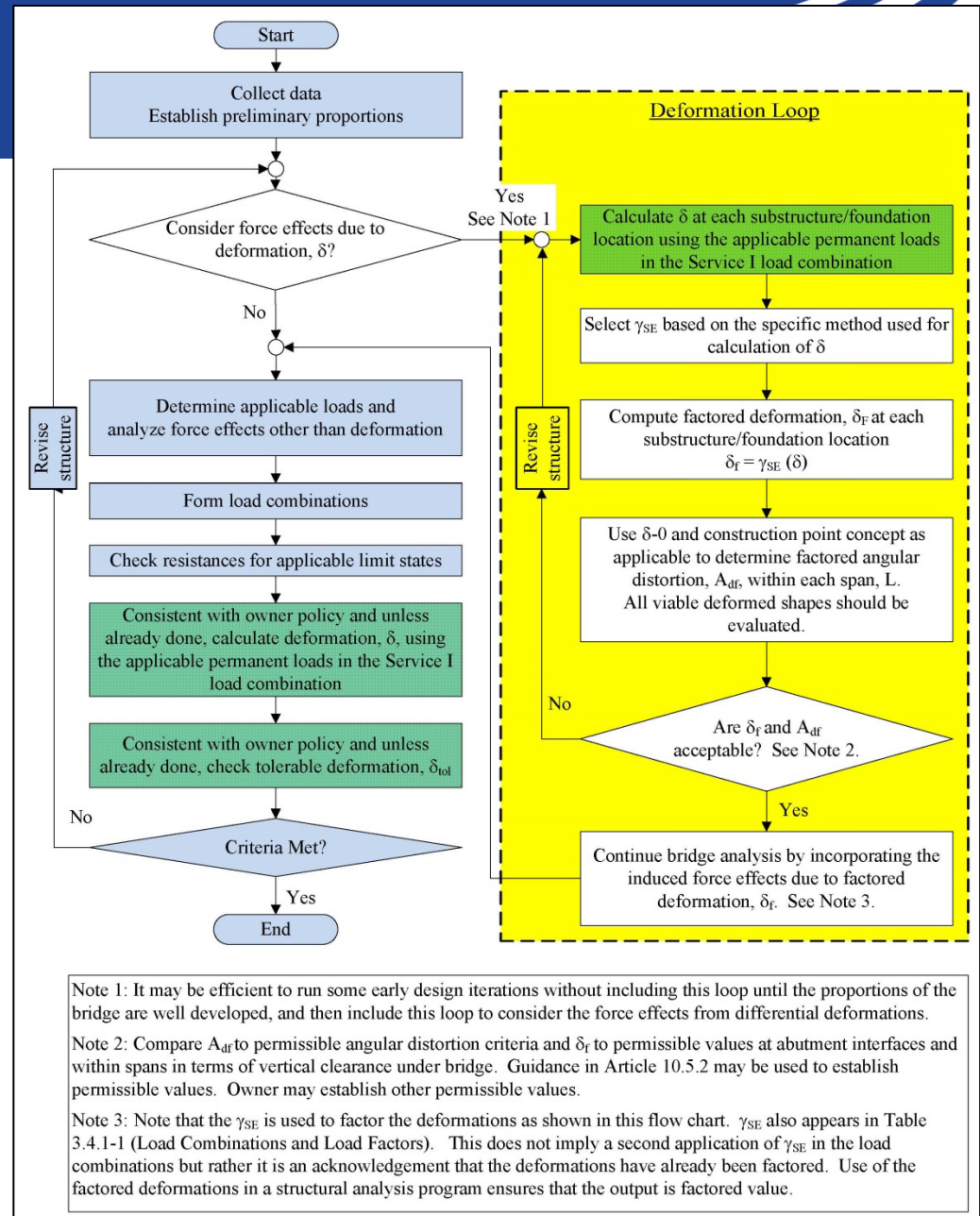


# Work Under TRB-SHRP2

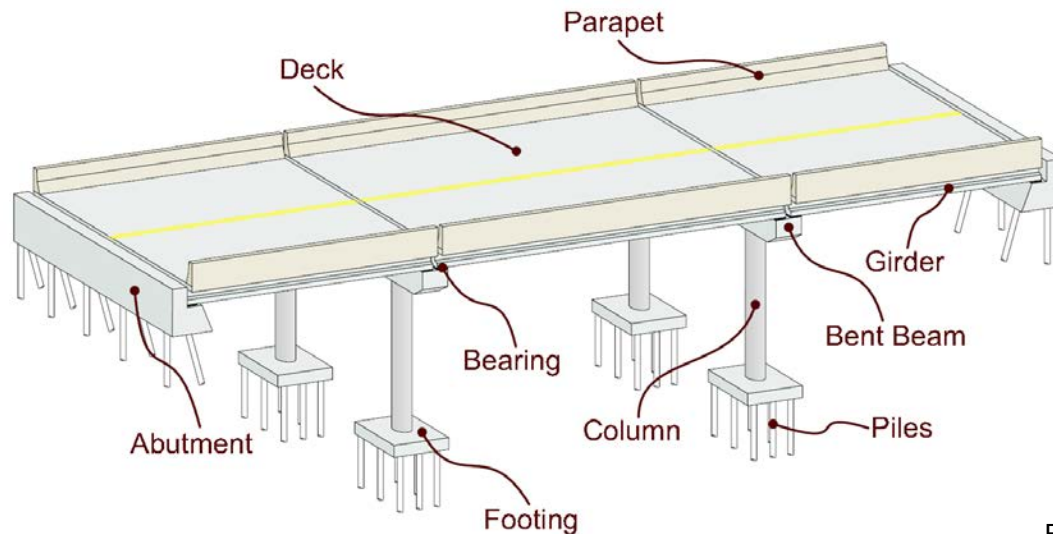
- General calibration process was developed for SLS and was revised to fit specific requirements for different limit states.
- The **following limit states were calibrated**:
  - Fatigue I and Fatigue II limit states for steel components
  - Fatigue I for compression in concrete and tension in the reinforcement
  - Tension in prestressed concrete components
  - Crack control in decks
  - Service II limit state for yielding of steel and for bolt slip
  - Foundation deformation(s)

# Implementation Tools

- Several examples
- White paper
- Flow Chart
- Proposed LRFD specification revisions and commentaries
- SHRP2 Round 7 Implementation Assistance Program (IAP)

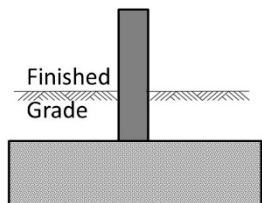


# Bridge Configuration and Foundation Types

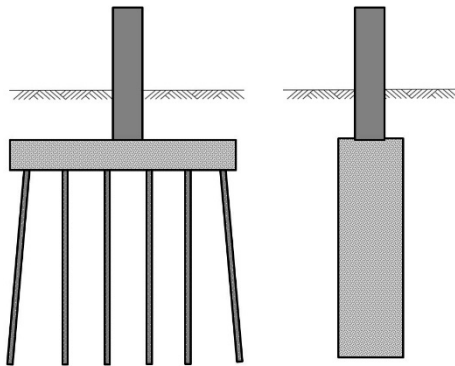


Reference: Nielson (2005)

## Shallow Foundation



## Deep Foundations



## Foundation Deformations

- Vertical (Settlement)
- Lateral (Horizontal)
- Rotation



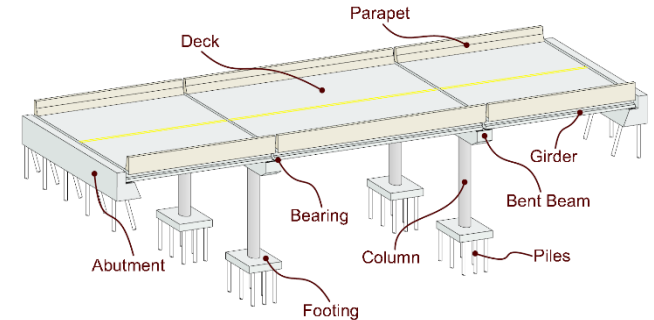
# AASHTO Table 3.4.1-1

Load Combination Limit State		DC	DD	DW	EH	EV	ES	EL	Superimposed Deformations			Use One of These at a Time										
		LL	IM	CE	PS	CR	SH	BR	PL	LS	WA	WS	WL	FR	TU	TG	SE	EQ	BL	IC	CT	CV
STRENGTH LIMIT	I	$\gamma_p$	1.75	1.00	—	—	1.00	0.50/1.20	$\gamma_{TG}$	$\gamma_{SE}$	—	—	—	—	—	—	—	—	—	—	—	—
	II	$\gamma_p$	1.35	1.00	—	—	1.00	0.50/1.20	$\gamma_{TG}$	$\gamma_{SE}$	—	—	—	—	—	—	—	—	—	—	—	—
	III	$\gamma_p$	—	1.00	1.40	—	1.00	0.50/1.20	$\gamma_{TG}$	$\gamma_{SE}$	—	—	—	—	—	—	—	—	—	—	—	—
	IV	$\gamma_p$	—	1.00	—	—	1.00	0.50/1.20	—	—	—	—	—	—	—	—	—	—	—	—	—	—
	V	$\gamma_p$	1.35	1.00	0.40	1.0	1.00	0.50/1.20	$\gamma_{TG}$	$\gamma_{SE}$	—	—	—	—	—	—	—	—	—	—	—	—
EXTREME EVENT	I	$\gamma_p$	$\gamma_{EQ}$	1.00	—	—	1.00	—	—	—	1.00	—	—	—	—	—	—	1.00	—	—	—	—
	II	$\gamma_p$	0.50	1.00	—	—	1.00	—	—	—	—	1.00	1.00	1.00	1.00	1.00	1.00	—	—	—	—	—
SERVICE LIMIT	I	1.00	1.00	1.00	0.30	1.0	1.00	1.00/1.20	$\gamma_{TG}$	$\gamma_{SE}$	—	—	—	—	—	—	—	—	—	—	—	—
	II	1.00	1.30	1.00	—	—	1.00	1.00/1.20	—	—	—	—	—	—	—	—	—	—	—	—	—	—
	III	1.00	0.80	1.00	—	—	1.00	1.00/1.20	$\gamma_{TG}$	$\gamma_{SE}$	—	—	—	—	—	—	—	—	—	—	—	—
	IV	1.00	—	1.00	0.70	—	1.00	1.00/1.20	—	1.0	—	—	—	—	—	—	—	—	—	—	—	—
FATIGUE - LL, IM & CE only	I	—	1.50	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—
	II	—	0.75	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—	—

# Superimposed Deformations

## Article 3.12.6 – Settlement

- “Force effects due to extreme values of differential settlement among substructures and within individual substructure units shall be considered.”



## Commentary

- “Force effects due to settlement may be reduced by considering creep. Analysis for the load combinations in Tables 3.4.1-1 and 3.1.4-2 which include settlement should be repeated for settlement of each possible substructure unit settling individually, as well as combinations of substructure units settling, that could create critical force effects in the structure.”

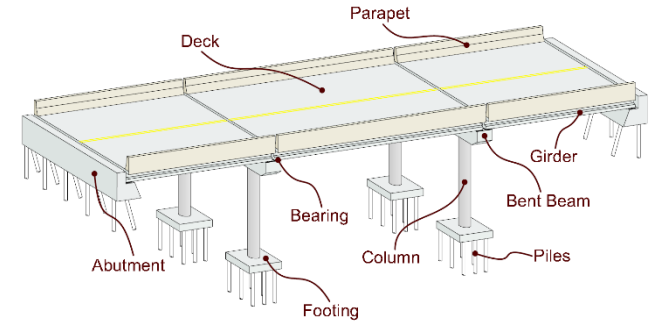


# Standard Specifications

## – 17<sup>th</sup> Edition (2002)

- **Article 3.3 – DEAD LOAD**

**3.3.2.1** “If differential settlement is anticipated in a structure, consideration should be given to stresses resulting from this settlement.”



- Since the above stipulation is under the parent article (3.3, Dead Load), it implies that settlement effects should be considered wherever dead load appears in the allowable stress design (ASD) or load factor design (LFD) load combinations.

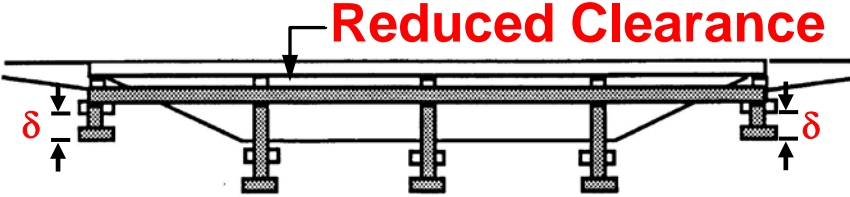
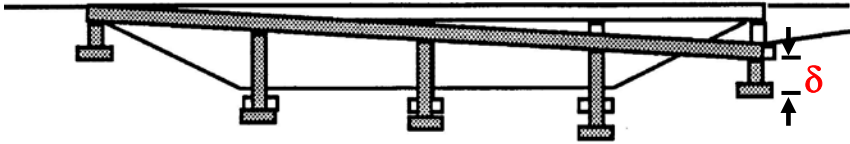
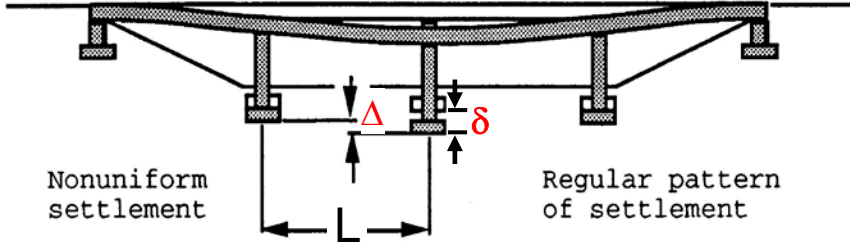
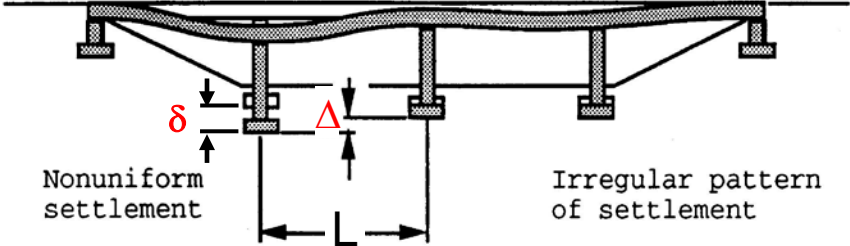
# Key Points

- Evaluation of differential deformation is mandated by AASHTO bridge design specification regardless of design platform (ASD, LFD, or LRFD).
  - It is not a new requirement.
- In LRFD platform,
  - Category of superimposed deformations
  - The  $g_{SE}$  load factor appears in both strength and service limit state load combinations.
- The uncertainty of predicted deformations needs to be calibrated for the  $g_{SE}$  load factor within the overall framework of limit state design.

# Nomenclature

Symbol	Meaning
$\delta$	Total deformation at a support element
$\Delta$	Differential deformation between two adjacent support elements
A	Angular distortion = $\Delta/L$ , where L is the distance between two adjacent support elements over which $\Delta$ is calculated

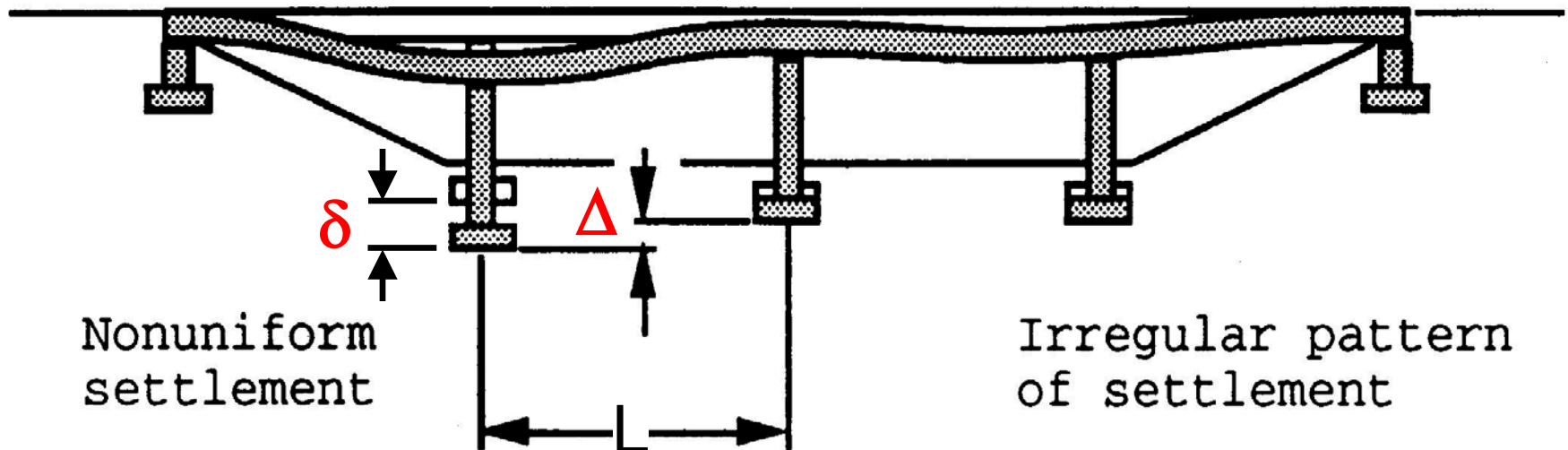
# Idealized Deformation Patterns

 <p><b>Reduced Clearance</b></p> <p><math>\delta</math></p>	 <p><math>\delta</math></p>
<b>Uniform Settlement</b>	<b>Uniform Tilt (Rotation)</b>
 <p>Nonuniform settlement</p> <p><math>\Delta</math></p> <p><math>\delta</math></p> <p>L</p> <p>Regular pattern of settlement</p>	 <p>Nonuniform settlement</p> <p><math>\delta</math></p> <p><math>\Delta</math></p> <p>L</p> <p>Irregular pattern of settlement</p>
<b>Nonuniform Settlement</b>	<b>Irregular Settlement</b>

Reference: After Duncan and Tan (1991)

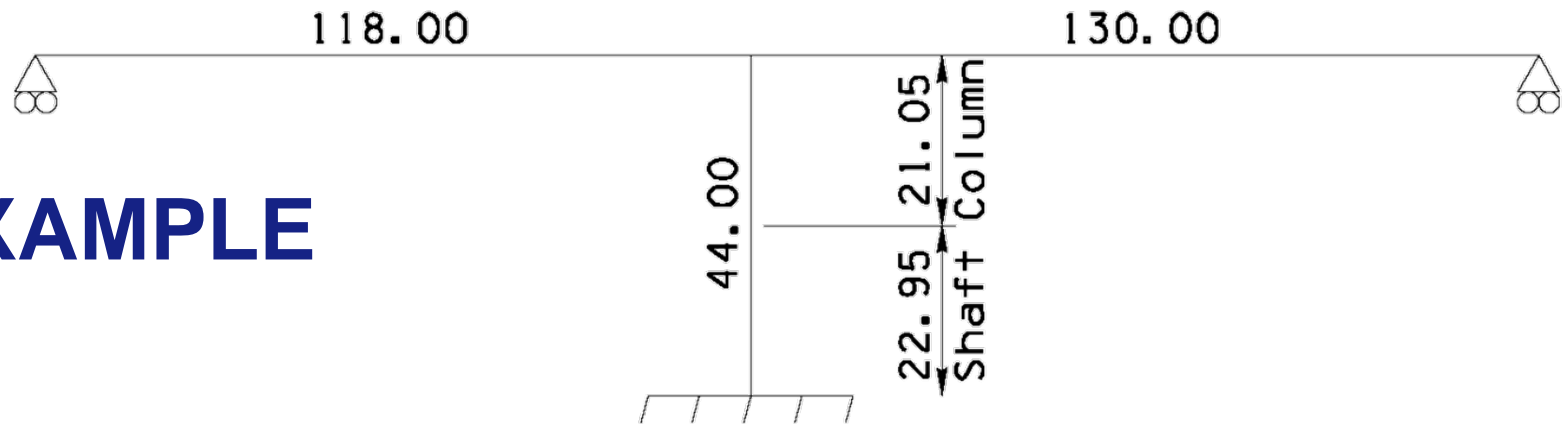
# Differential Settlement

- Difference in settlement between two support elements,  $\Delta$
- Induces force effects within superstructure



# Induced Moments in Continuous Span Bridges

## EXAMPLE

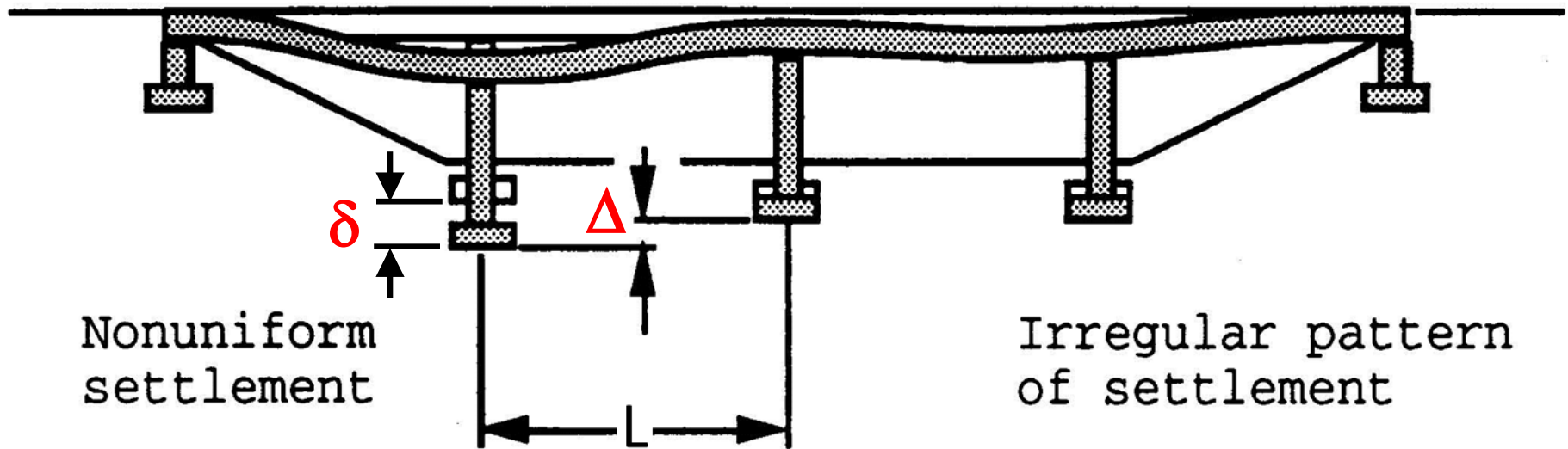


$$FEM = \frac{6EI\Delta}{L^2} = 6 \left( \frac{EI}{L} \right) \left( \frac{\Delta}{L} \right) \rightarrow \text{Force Effect} = f(EI/L, \Delta/L)$$

$EI/L$  is a representation of **Structure Stiffness**  
 $\Delta/L$  is **Angular Distortion** (dimensionless)



# Settlement, $\delta$ , and Angular Distortion, $A = \Delta/L$



- What is a tolerable value of  $\Delta/L$  ?
- How reliable is the value of  $\delta$  ?

# Limiting (Tolerable) Angular Distortion

- Moulton et al. (1985) – For FHWA
- AASHTO – Standard (ASD) and LRFD Specifications

Type of Bridge	Limiting Angular Distortion, $\Delta/L$	
	Moulton et al. (1985)	AASHTO
Continuous Span	<b>0.004</b> (4.8" in 100')	<b>0.004</b> (4.8" in 100')
Simple Span	<b>0.005</b> (6.0" in 100')	<b>0.008</b> (9.6" in 100')
For rigid frames, perform case-specific analysis		

# Use of AASHTO Limiting Values

## Arbitrary (no consistency in application)

- $0.004 \rightarrow 0.0004$  or  $0.008 \rightarrow 0.0008$
- I-25/I-40 TI (BIG-I), NM:  $0.004 \rightarrow 0.002$ ,  $0.008 \rightarrow 0.004$
- WSDOT (From Chapter 8 of Geotech Design Manual)

Total Settlement, $\delta$ , at Pier or Abutment	Differential Sett over 100 ft within pier or abut & diff sett between piers	Action
$\delta \leq 1"$	$\Delta_{100 \text{ ft}} \leq 0.75" \text{ [0.000625]}$	Design & Construct
$1" < \delta \leq 4"$	$0.75" < \Delta_{100 \text{ ft}} \leq 3" \text{ [0.000625-0.0025]}$	Ensure structure can tolerate settlement
$\delta > 4"$	$\Delta_{100 \text{ ft}} > 3" \text{ [> 0.0025]}$	Need Dept approval

# Definition of Intolerable Movement in Moulton's Study

- Per TRB Committee A2K03 (mid 1970s)
  - “***Movement is not tolerable if damage requires costly maintenance and/or repairs and a more expensive construction to avoid this would have been preferable.***”
- Definition is somewhat subjective and needs to be revisited in stochastic (reliability) context of LRFD, which is what was done by SHRP2 – Project R19B

# Evaluation by Moulton et al. (1985)



## Basis

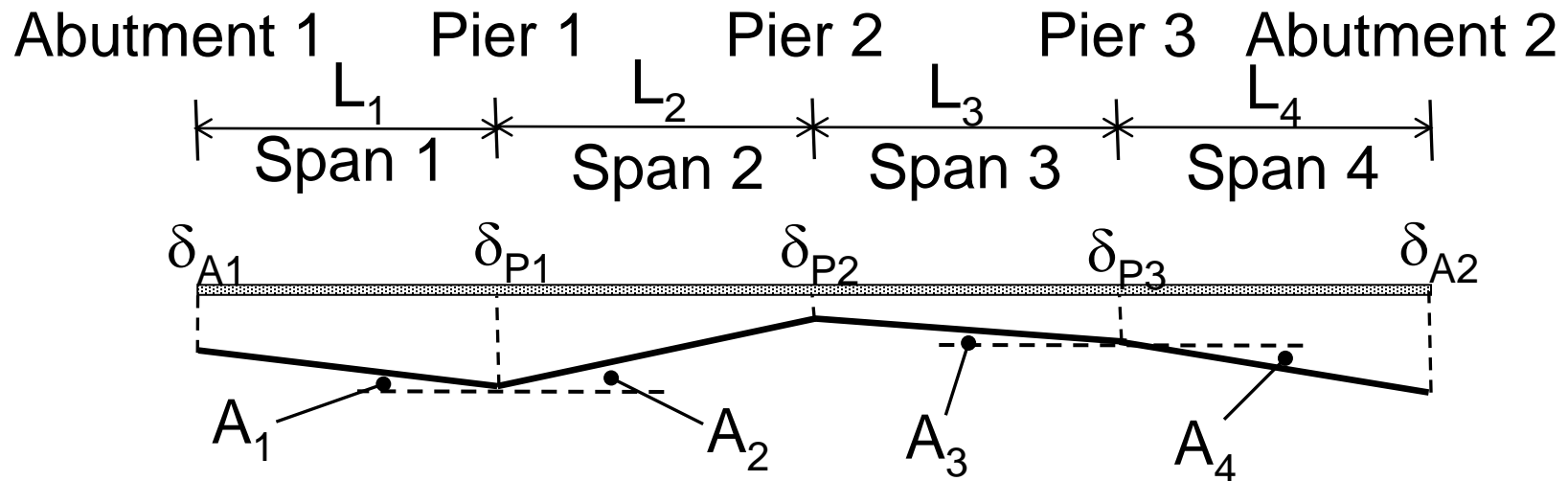
- 1977 – 12<sup>th</sup> Edition of Standard Specifications
- HS20-44 wheel loading or its equivalent lane loading

## Key observation of 1985 study

- Attempts to establish tolerable movements from analyses of the effects of differential settlement on the stresses in bridges significantly underestimated the criteria established from field observations
- Analytical evaluation leads to overly conservative angular distortion criteria

## Step 1 – Estimate Total/Diff Settlements and Angular Distortions

### Example profile of settlement, $\delta$ , along a bridge



Span	Differential Settlement	Angular Distortion
1	$ \delta_{A1} - \delta_{P1} $	$A_1 = ( \delta_{A1} - \delta_{P1} )/L_1$
2	$ \delta_{P1} - \delta_{P2} $	$A_2 = ( \delta_{P1} - \delta_{P2} )/L_2$
3	$ \delta_{P2} - \delta_{P3} $	$A_3 = ( \delta_{P2} - \delta_{P3} )/L_3$
4	$ \delta_{P3} - \delta_{A2} $	$A_4 = ( \delta_{P3} - \delta_{A2} )/L_4$

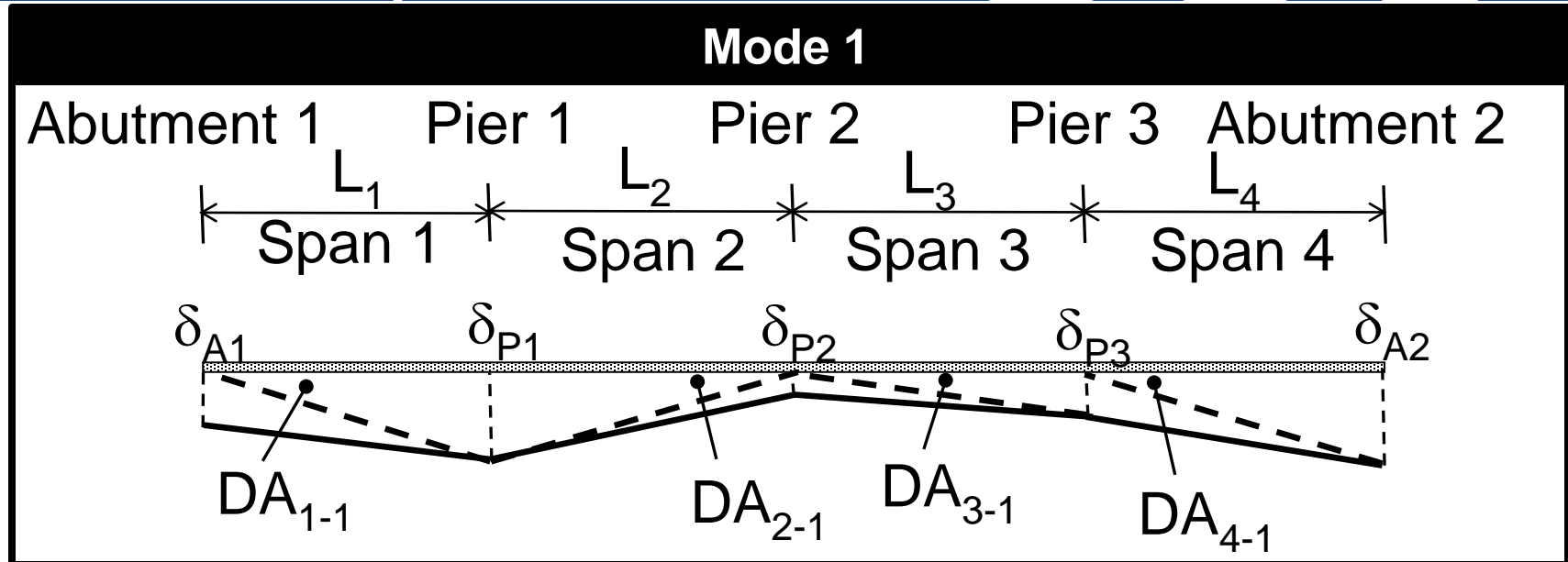


# Nomenclature

Symbol	Meaning
$D\delta$	Design differential deformation based on $\delta$ -0 concept
DA	Design Angular distortion = $D\delta/L$ , where L is the distance between two adjacent support elements over which $D\delta$ is calculated based on $\delta$ -0 concept

# A Rational Approach – FHWA 2010

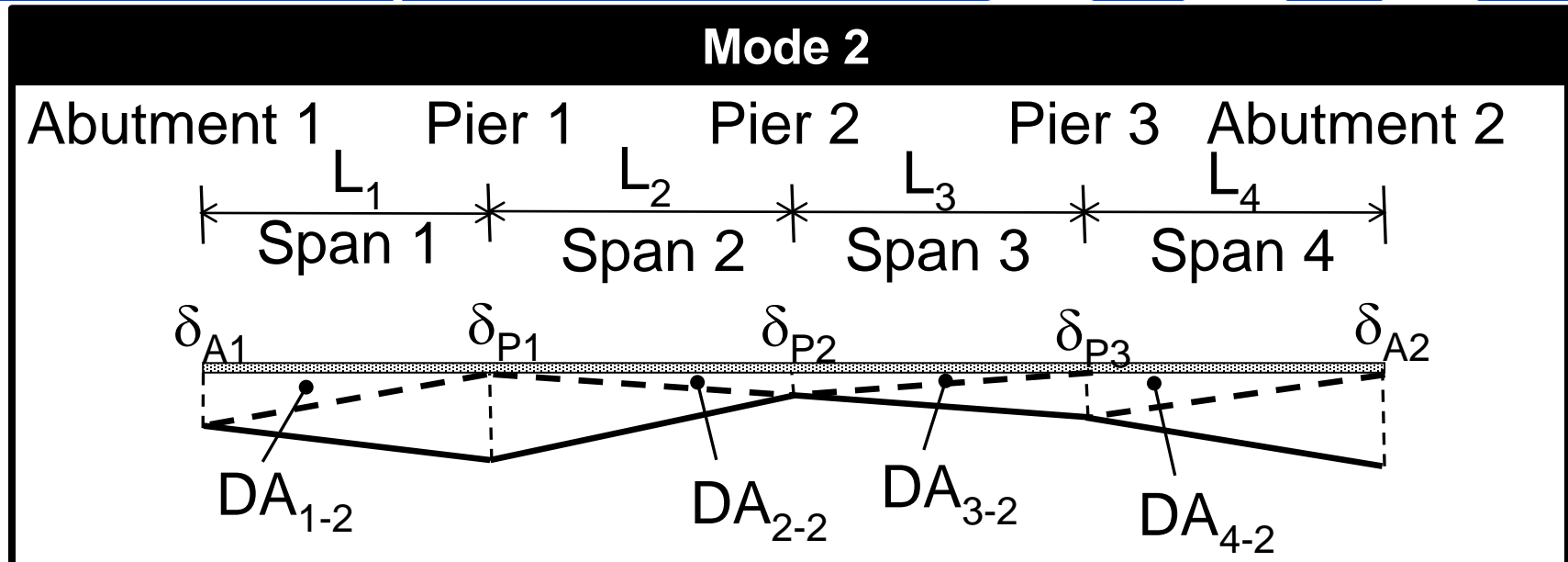
## Step 2 – Estimate Design Values Based on $\delta$ -0 Concept – Mode 1



Span	Design Differential Settlement	Design Angular Distortion
1	$D\delta_{P1} = \delta_{P1}$ (assume $\delta_{A1} = 0$ )	$DA_{1-1} = D\delta_{P1}/L_1$
2	$D\delta_{P1} = \delta_{P1}$ (assume $\delta_{P2} = 0$ )	$DA_{2-1} = D\delta_{P1}/L_2$
3	$D\delta_{P3} = \delta_{P3}$ (assume $\delta_{P2} = 0$ )	$DA_{3-1} = D\delta_{P3}/L_3$
4	$D\delta_{A2} = \delta_{A2}$ (assume $\delta_{P3} = 0$ )	$DA_{4-1} = D\delta_{A2}/L_4$

# A Rational Approach – FHWA 2010

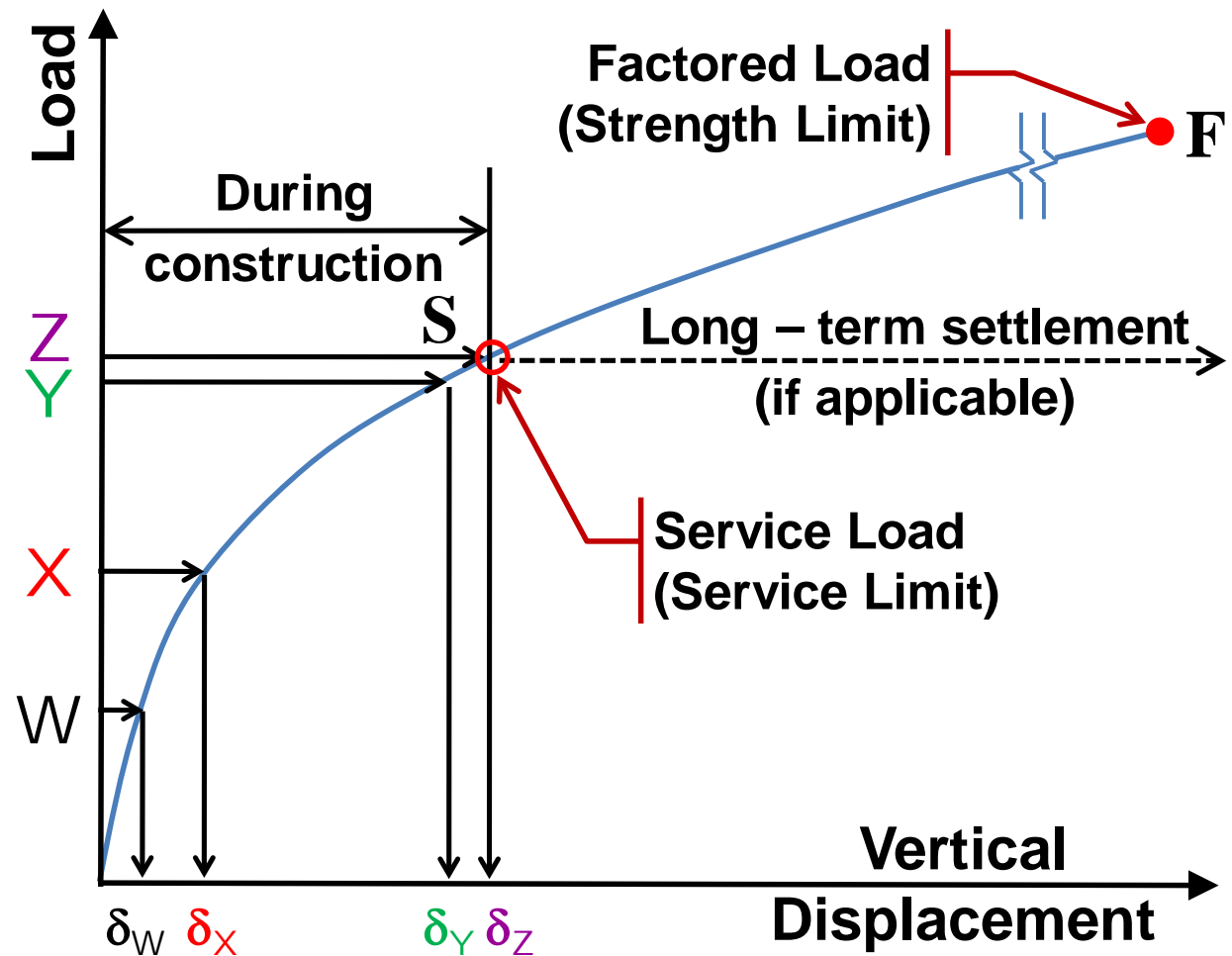
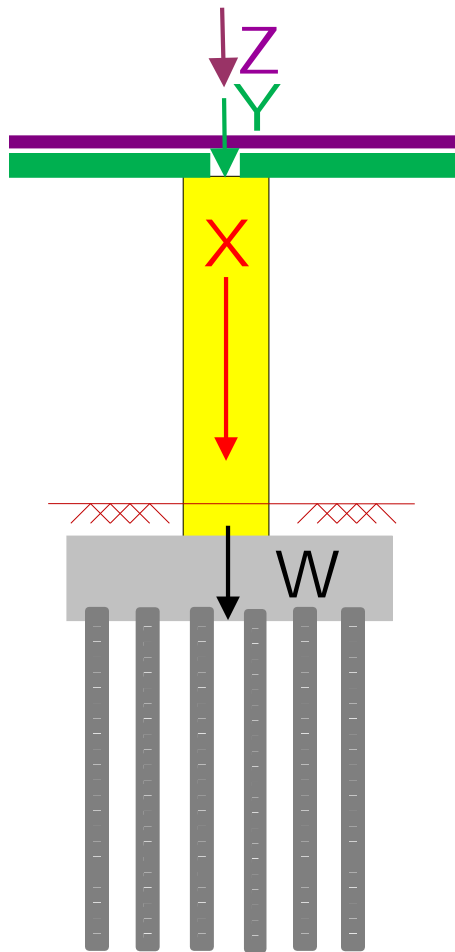
## Step 2 – Estimate Design Values Based on $\delta$ -0 Concept – Mode 2



Span	Design Differential Settlement	Design Angular Distortion
1	$D\delta_{A1} = \delta_{A1}$ (assume $\delta_{P1} = 0$ )	$DA_{1-2} = D\delta_{A1}/L_1$
2	$D\delta_{P2} = \delta_{P2}$ (assume $\delta_{P1} = 0$ )	$DA_{2-2} = D\delta_{P2}/L_2$
3	$D\delta_{P2} = \delta_{P2}$ (assume $\delta_{P3} = 0$ )	$DA_{3-2} = D\delta_{P2}/L_3$
4	$D\delta_{p3} = \delta_{p3}$ (assume $\delta_{A2} = 0$ )	$DA_{4-2} = D\delta_{p3}/L_4$

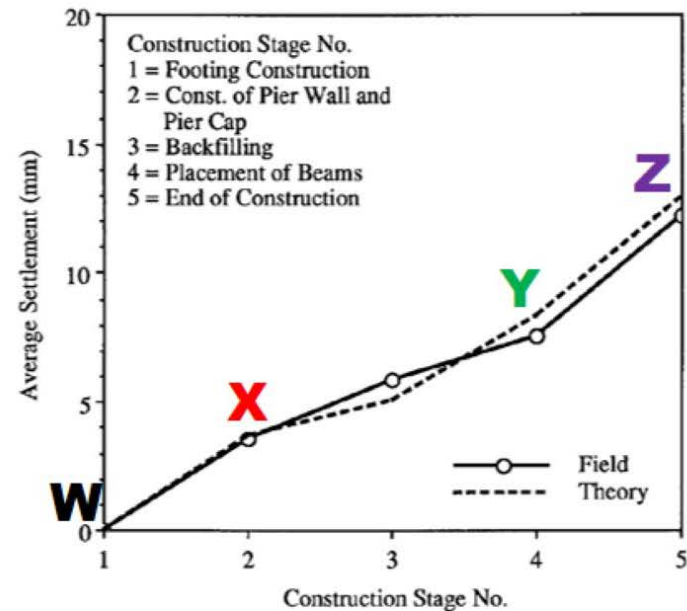
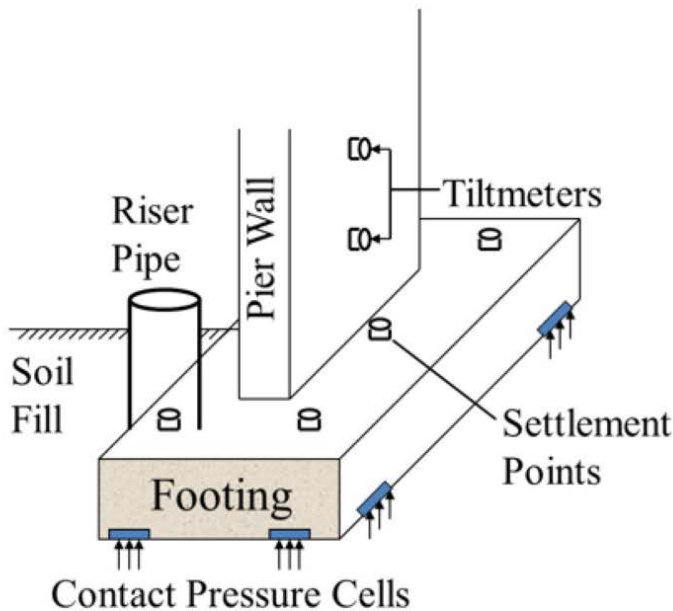
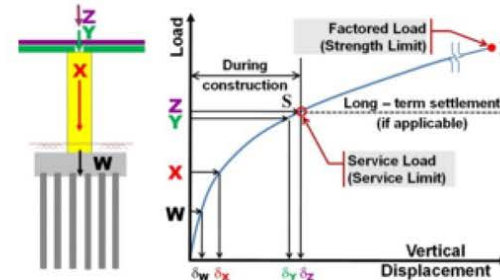
# When is a Bridge Structure Affected?

Foundation could be shallow (spread footings) or deep (piles, shafts, etc.)



# When is a Bridge Structure Affected?

## Construction Point Concept



## Instrumentation

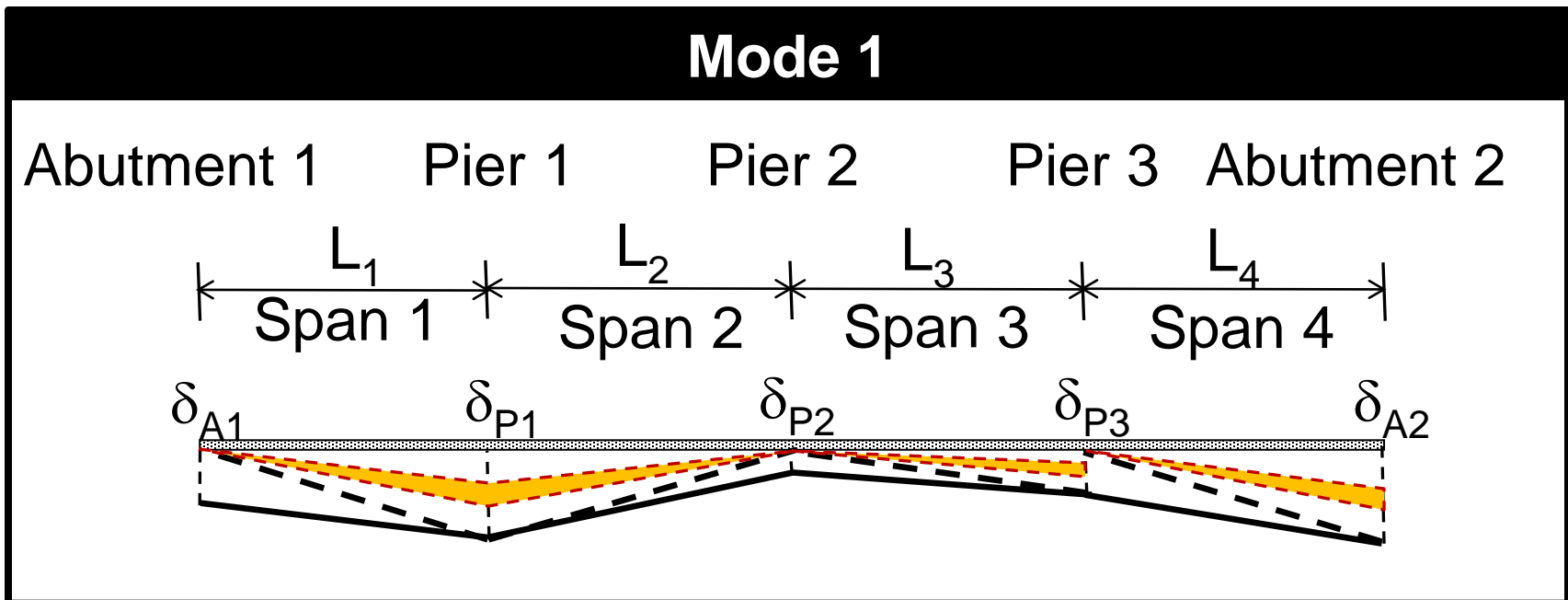
## Example Data (Pier)

Reference: Sargand, et al., (1999); Ohio DOT

# A Rational Approach – FHWA 2010

## Step 3 – Estimate Relevant Values

- Based on construction point concept, estimate **relevant** deformation values (which can be up to half of the values based on assumption of instantaneous placement of entire structure)

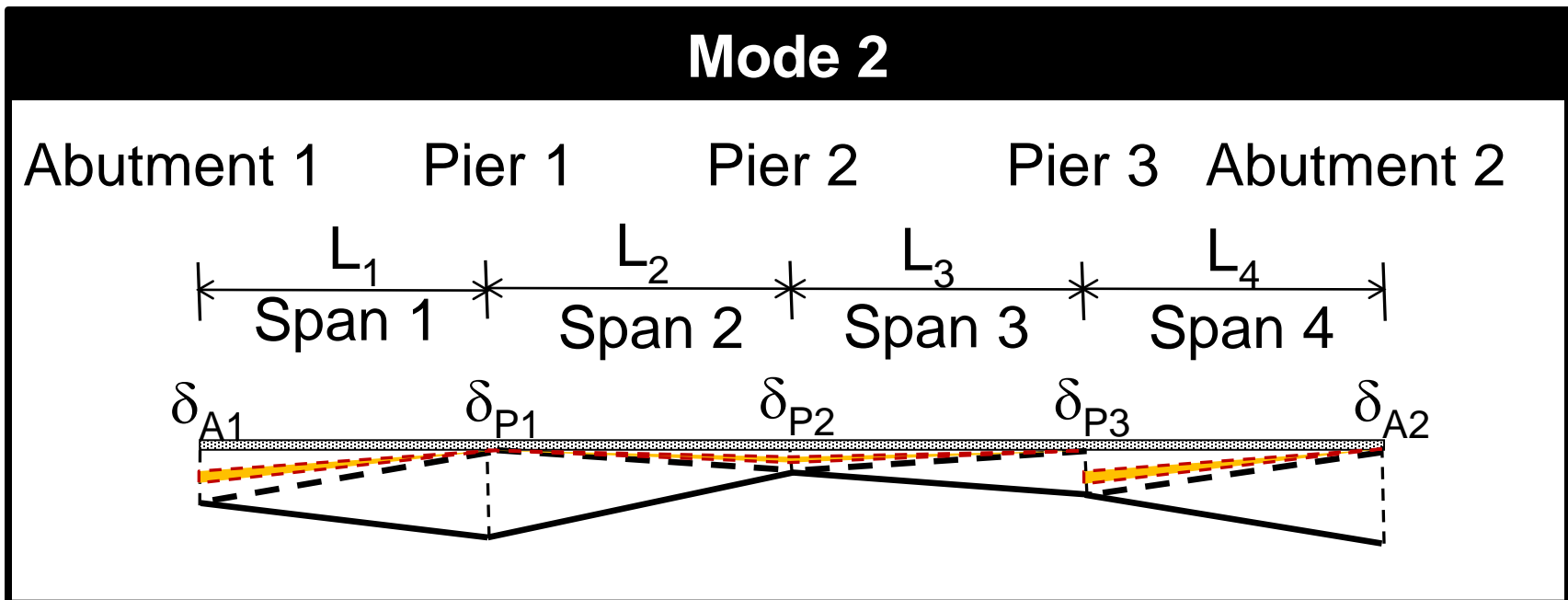




# A Rational Approach – FHWA 2010

## Step 3 – Estimate Relevant Values

- Based on construction point concept, estimate **relevant** deformation values (which can be up to half of the values based on assumption of instantaneous placement of entire structure)



# What Does All of This Mean?

Need to:

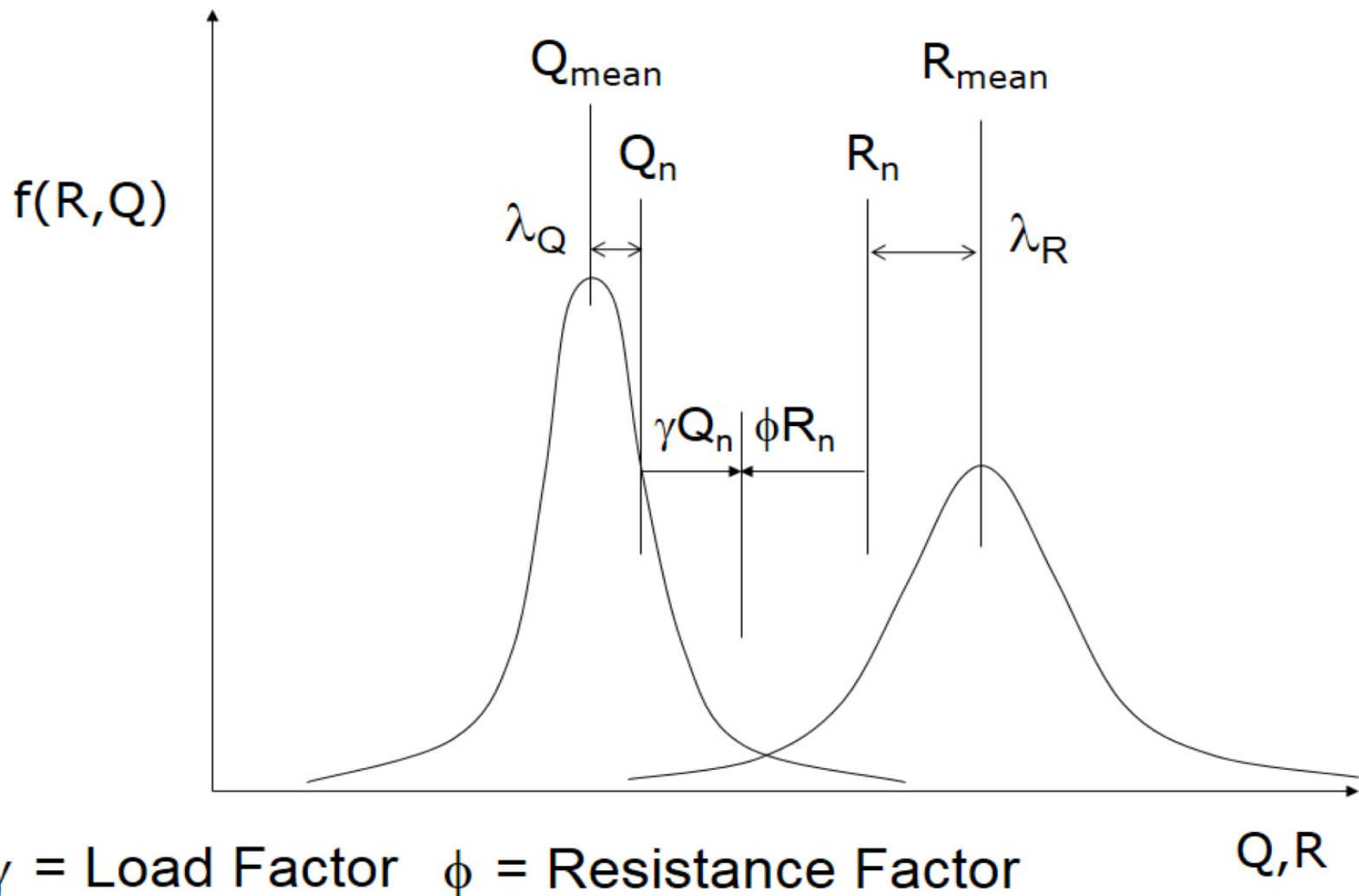
1. **Re-evaluate** past data in LRFD framework
2. **Re-survey** using revised definition of intolerable movements in LRFD context
3. Using reliability considerations, **evaluate** foundation/soil response with substructure/superstructure interaction



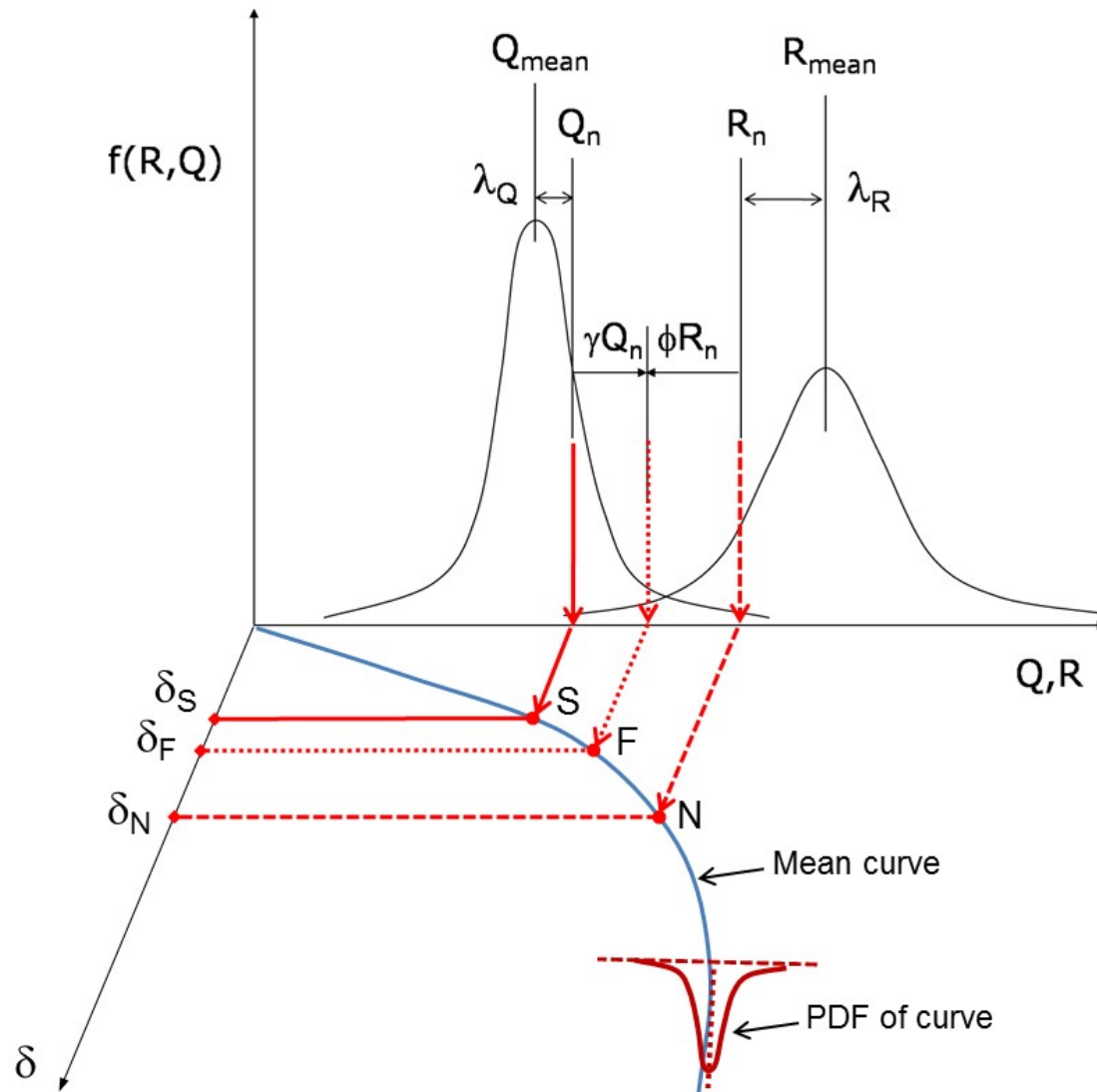
# **Calibration Approach**

**Incorporating reliability into  
evaluation of foundation  
deformations**

# Basic LRFD Concept

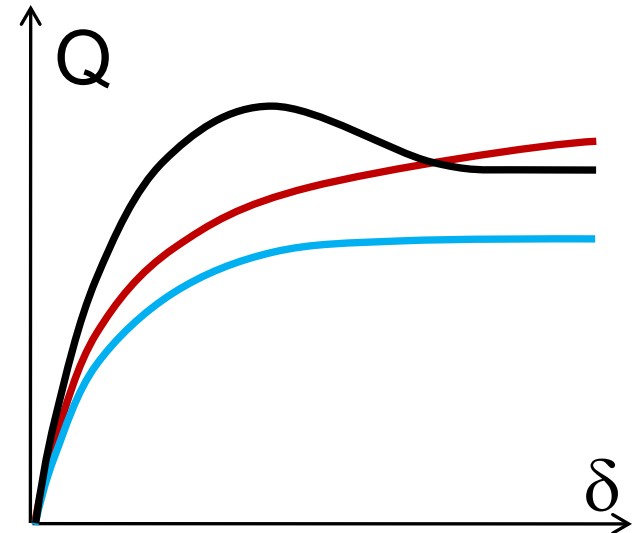


# The Q- $\delta$ Dimension



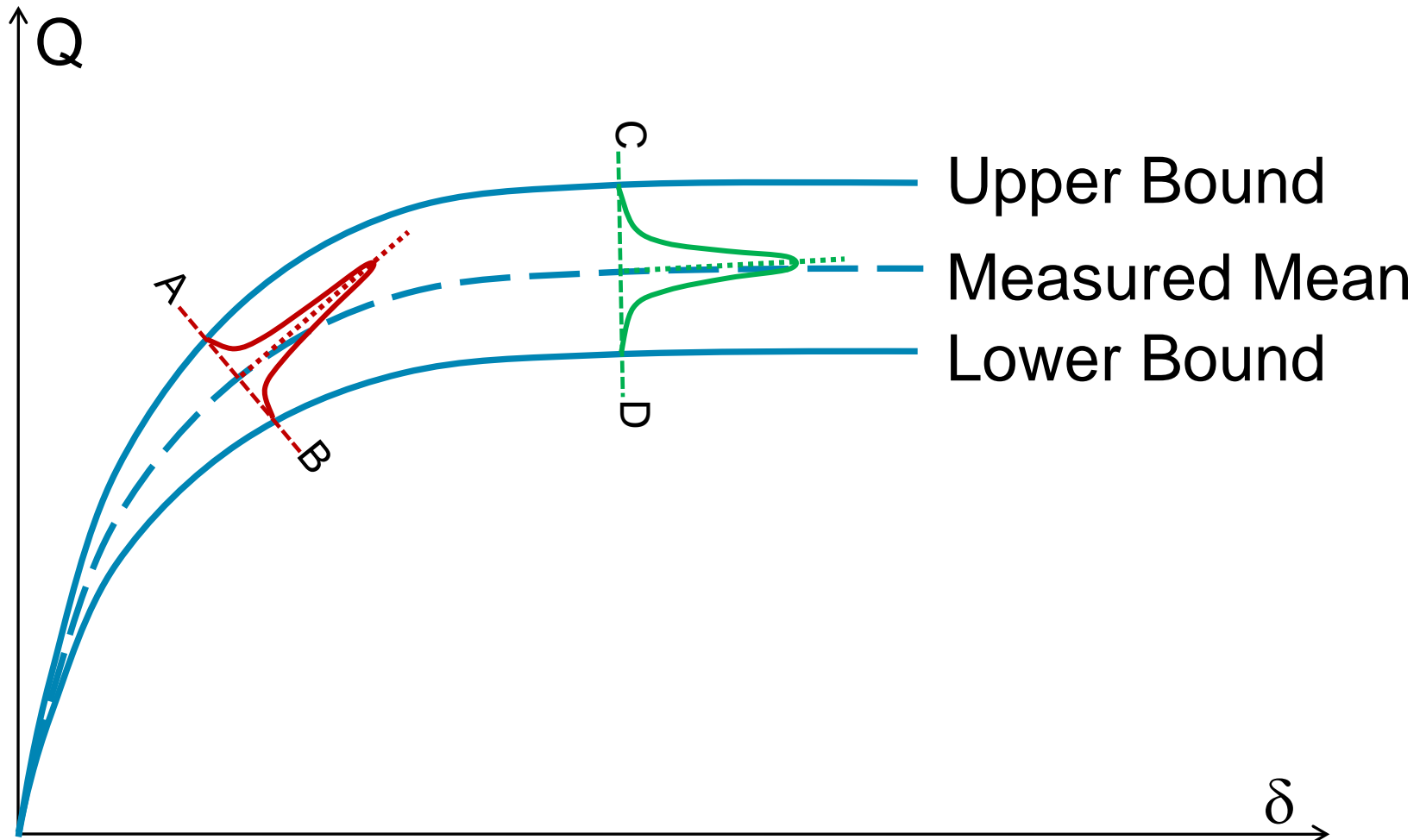
# Q- $\delta$ Model

- Q is force effect such as applied load, induced stress, moment, shear, etc.
  - Could be expressed as resistance, R
- $\delta$  is deformation such as settlement, rotation, strain, curvature, etc.
- Q- $\delta$  curves can have many shapes
  - Only 3 shapes are shown in the figure as examples
- **Formulation is general and applies to both geotechnical and structural aspects.** Some examples are as follows:
  - Lateral load – lateral displacement (P-y) curves
  - Moment-curvature (M- $\phi$ ) curves
  - Shear force-shear strain curves

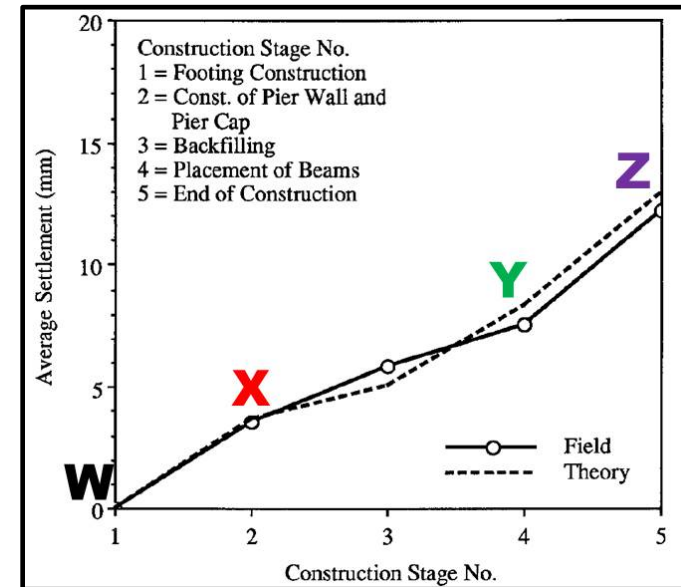
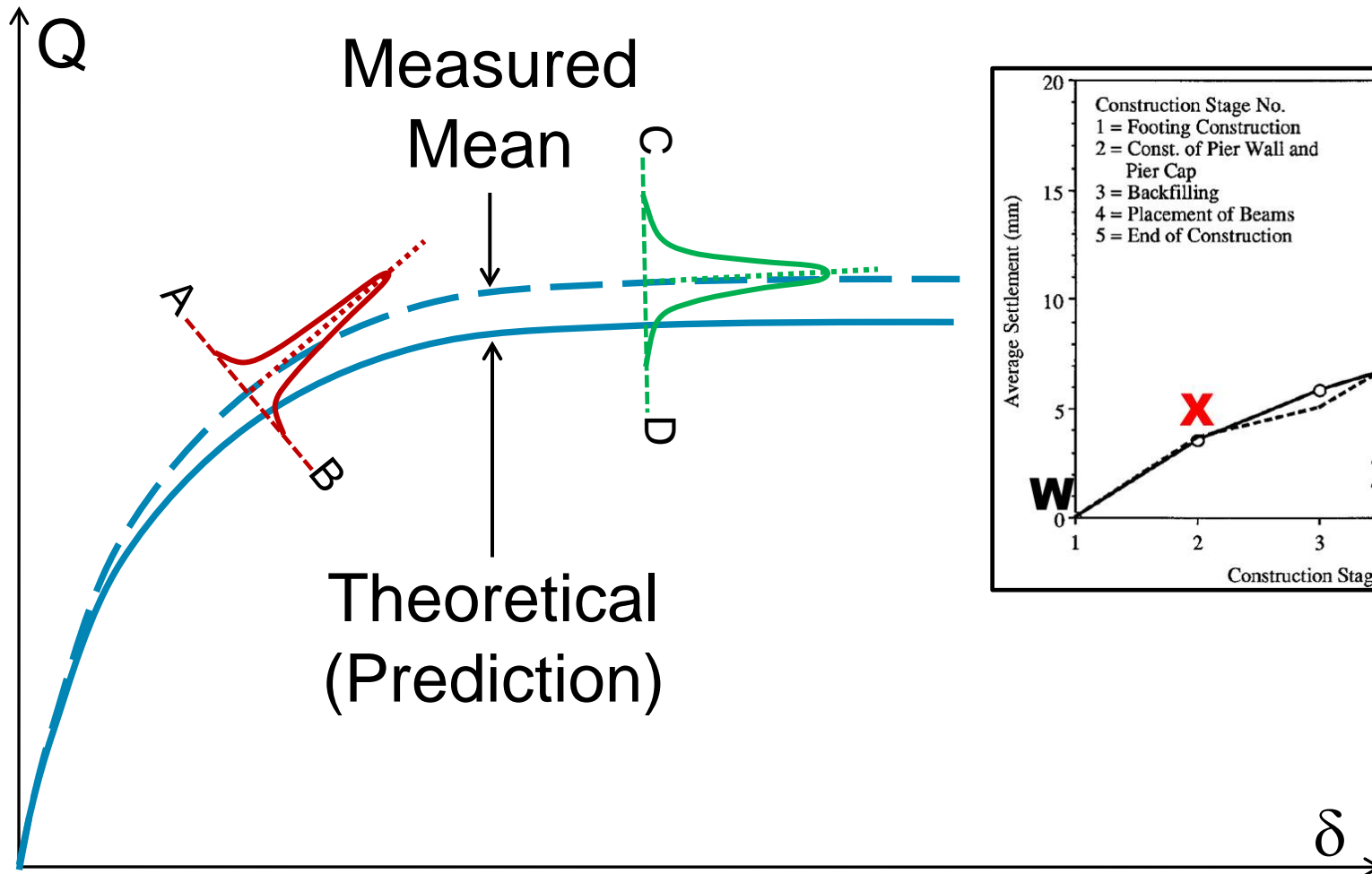




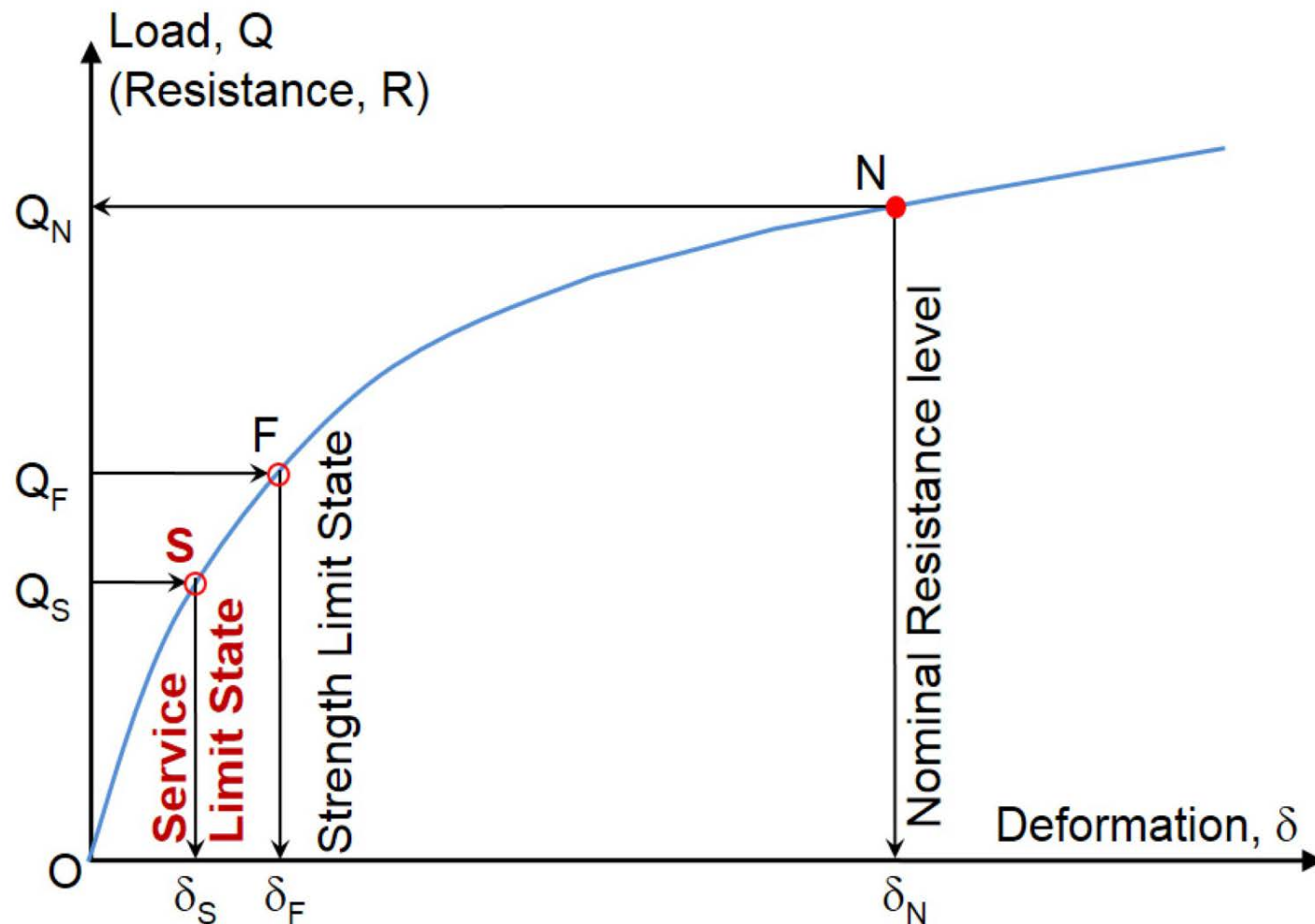
# Range and Distribution of $Q$ - $\delta$



# Correlation of Measured Mean With Theoretical Prediction



# Q- $\delta$ Model and Limit States



# Serviceability Limit State(s)

- For strength limit state, common expression is

$$g = R - Q$$

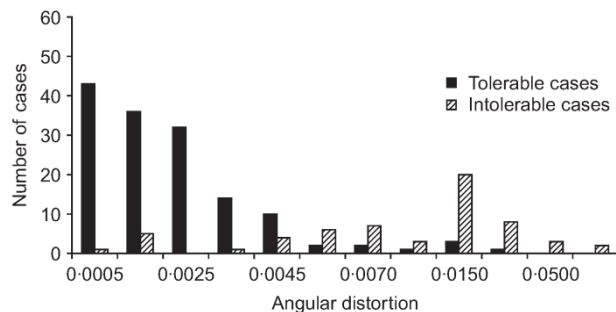
- For service limit state, the expression can be

$$g = \delta_T - \delta_P \quad \left[ \begin{array}{l} \delta_T = \text{target (design or tolerable)} \\ \delta_P = \text{predicted (estimated)} \end{array} \right]$$

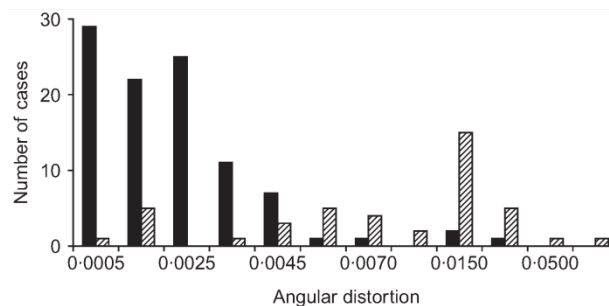
- $\delta_T$  is Resistance and  $\delta_P$  is Load
- Need statistics for  $\delta_T$  and  $\delta_P$

# Data from Moulton et al. (1985)

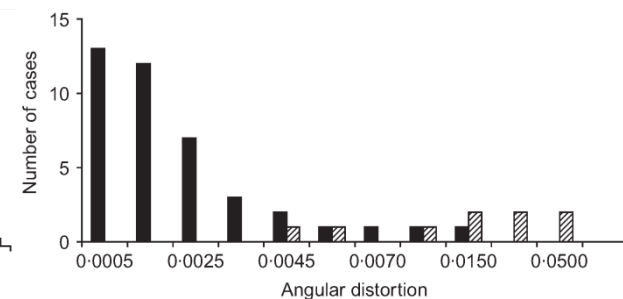
Angular distortion interval	All bridges*		Steel bridges		Concrete bridges	
	Tolerable cases	Intolerable cases	Tolerable cases	Intolerable cases	Tolerable cases	Intolerable cases
0-0.001	43	1	29	1	13	0
0.0011-0.002	36	5	22	5	12	0
0.0021-0.003	32	0	25	0	7	0
0.0031-0.004	14	1	11	1	3	0
0.0041-0.005	10	4	7	3	2	1
0.0051-0.006	2	6	1	5	1	1
0.0061-0.008	2	7	1	4	1	0
0.0081-0.010	1	3	0	2	1	1
0.011-0.020	3	20	2	15	1	2
0.021-0.040	1	8	1	5	0	2
0.041-0.060	0	3	0	1	0	2
0.061-0.080	0	2	0	1	0	0
	144	60	99	43	41	9



All Bridges



Steel Bridges



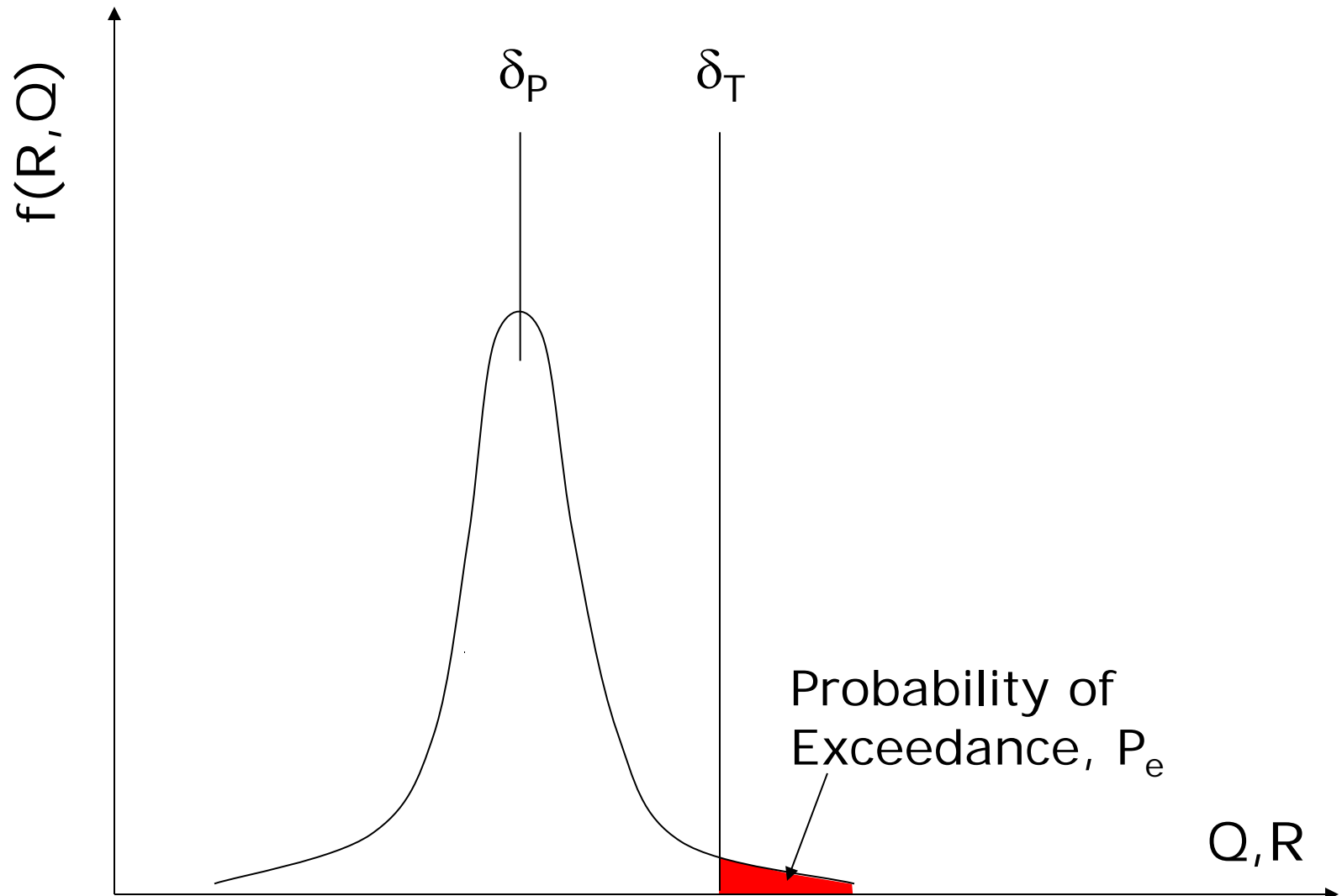
Concrete Bridges

Reference: Zhang and Ng (2005)

# Statistics for $\delta_T$ (Resistance)

- No consensus on  $\delta_T$
- No standard deviation ( $\sigma$ ), Bias (or Accuracy) data available at this time using LRFD specifications
  - Long Term Bridge Performance Program (LTBPP) may offer future data
- Use of deterministic value of  $\delta_T$  by bridge designer
  - Varies based on type of bridge structure, joints, design of specific component, ride quality, deck drainage, aesthetics, public perception, etc.

# Adaptations



# Statistics for $\delta_p$ (Load)

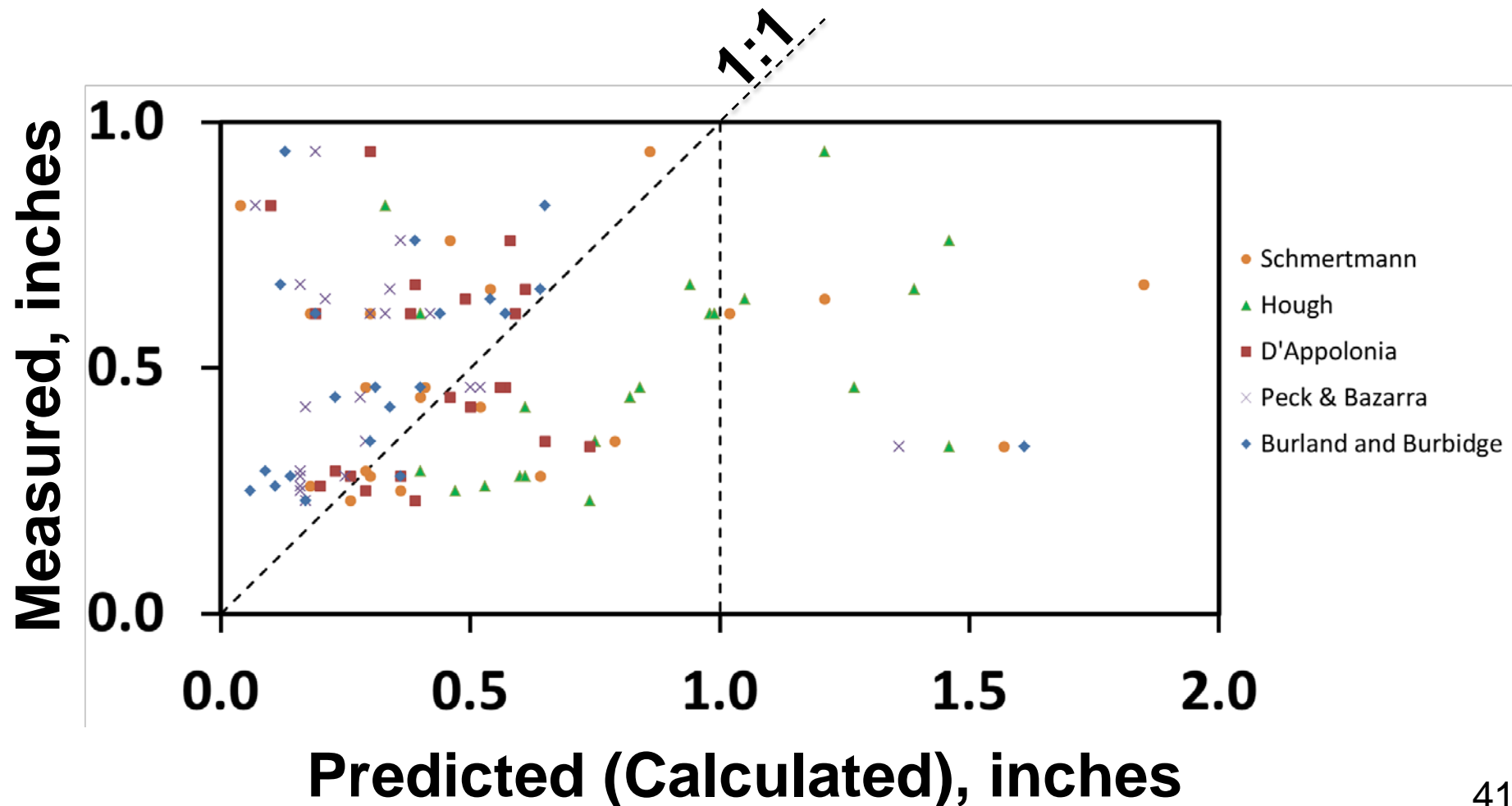
- Can be developed based on deformation data from monitoring of *bridge* construction and/or load tests of *bridge* foundations
- Example: Immediate Settlement of Spread Footings

**Immediate settlement of spread footings is used to explain concepts. All discussions apply to other foundation/wall types and deformations, e.g., lateral movements, MSE wall deformations, etc.**

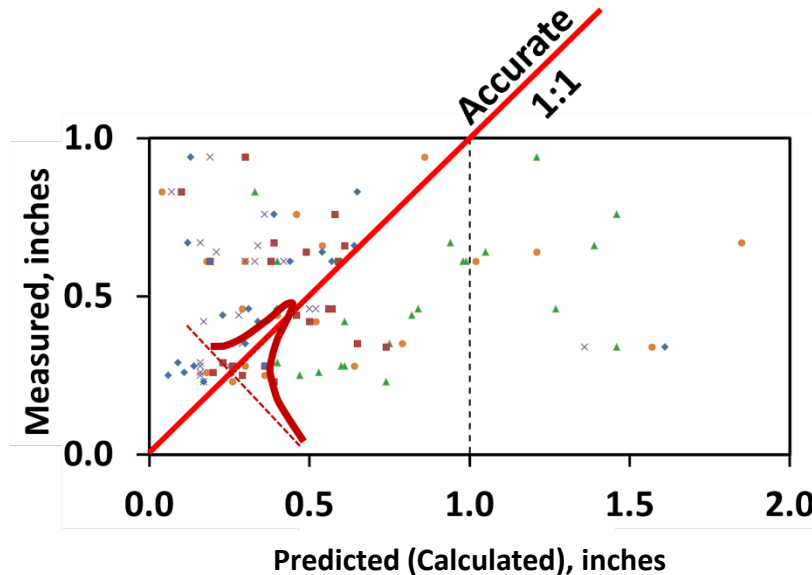


# Data for Immediate Settlement of Spread Footings

Data from FHWA (1987)



# Concept of Accuracy and Bias



- Accurate method:  $\delta_P = \delta_M \rightarrow \delta_P / \delta_M = 1.0$
- Accuracy,  $X = \delta_P / \delta_M$       Bias,  $\lambda = 1/X = \delta_M / \delta_P$
- Concept of Accuracy is used herein
- Accuracy,  $X$ , is a random variable

# Statistics of Accuracy, $X (= \delta_P / \delta_M)$



Statistic	Schmertmann	Hough	D'Appolonia	Peck & Bazzara	Burland & Burbridge
Count	20	20	20	20	20
Min	0.295	0.656	0.311	0.202	0.138
Max	4.618	4.294	2.176	4.000	4.735
$\mu$	1.381	1.971	1.031	0.779	0.829
$\sigma$	1.006	0.769	0.476	0.796	0.968
CV	0.729	0.390	0.462	1.022	1.168

Legend:

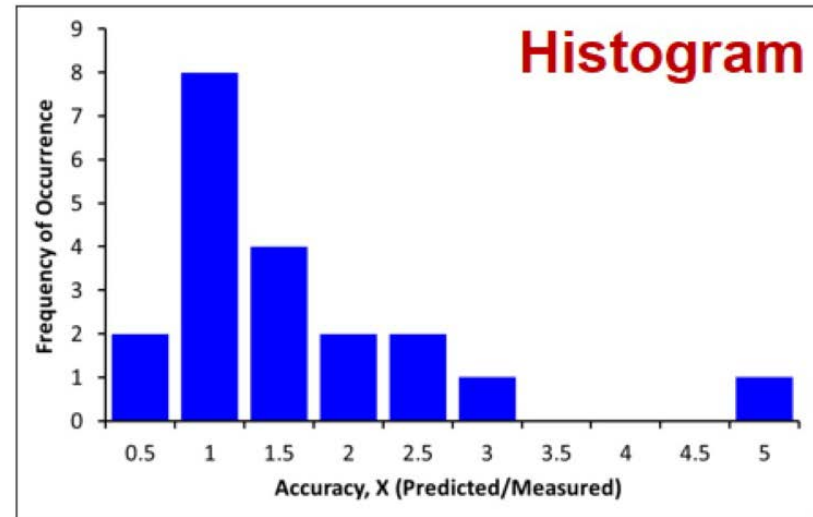
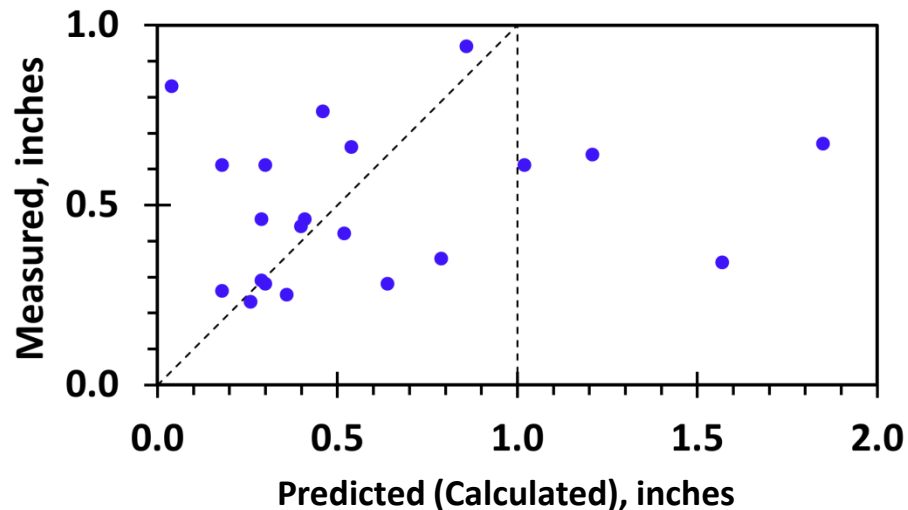
$\mu$  = Mean

$\sigma$  = Standard Deviation

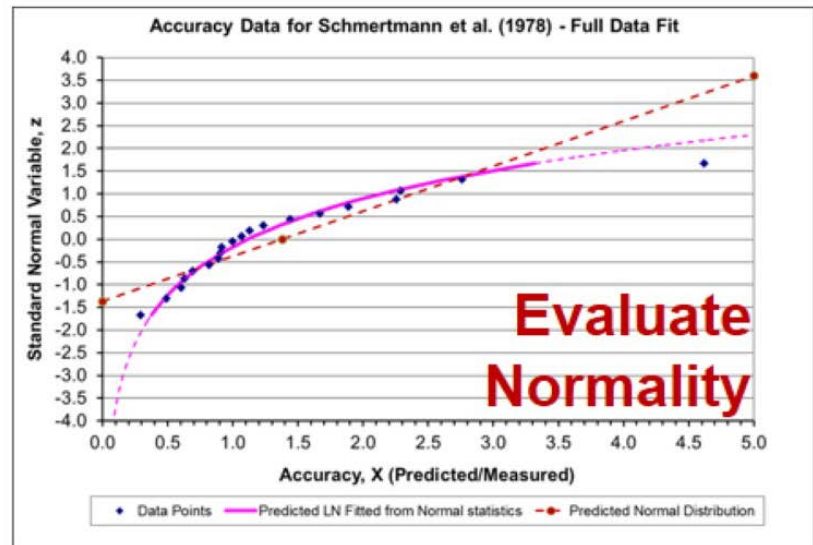
CV = Coefficient of Variation ( $= \sigma / \mu$ )

Statistics based on data in FHWA (1987)

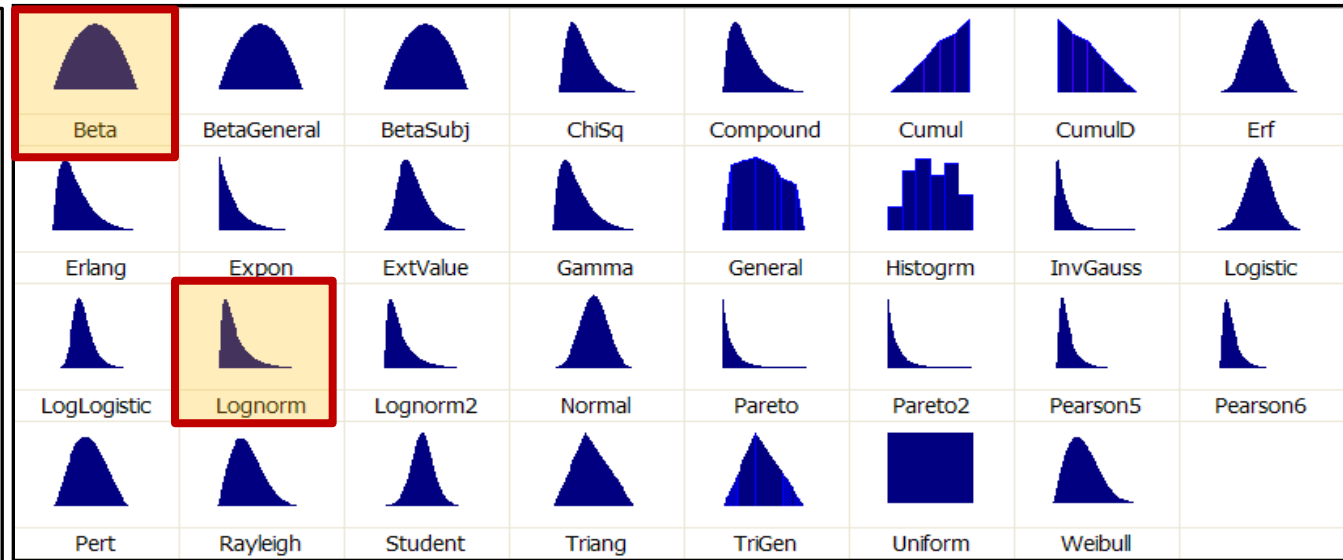
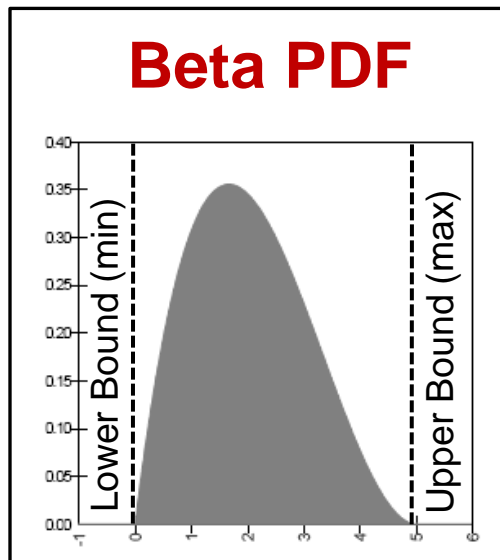
# Schmertmann Data



- Data are non-normal
- Which **Probability Distribution Function** (PDF) is the best to represent non-normal data?



# Probability Distribution Functions (PDFs)

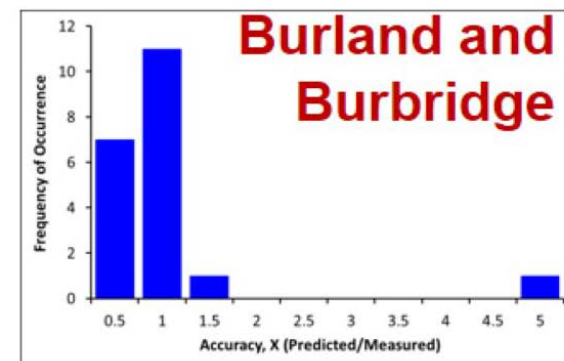
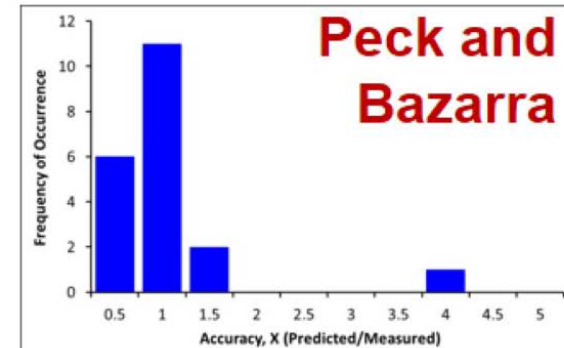
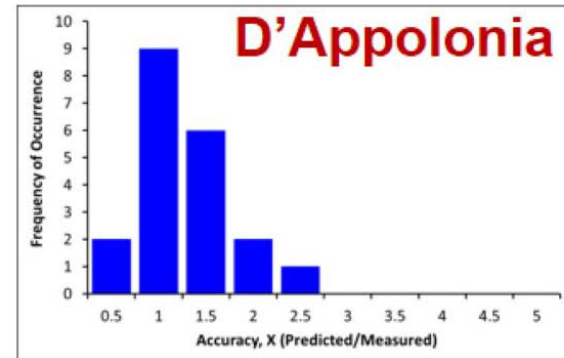
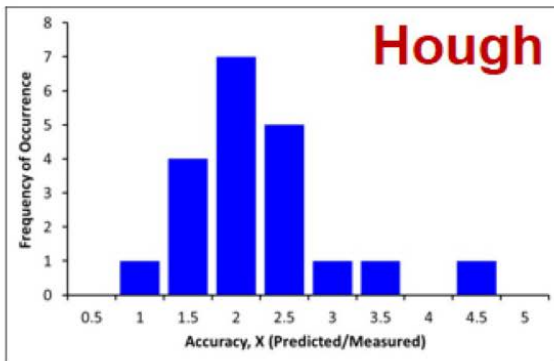
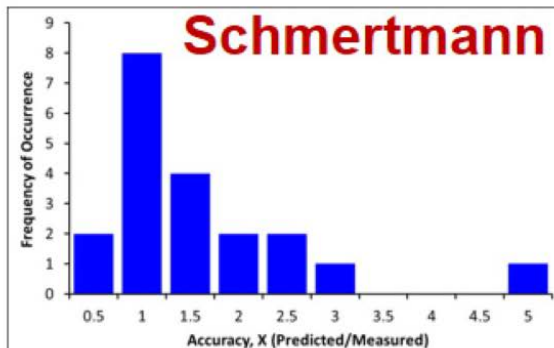


Reference for PDF Schematics: @Risk by Palisade Corporation

**Calibration concept applies regardless of PDF chosen**

# Non-Normal Data

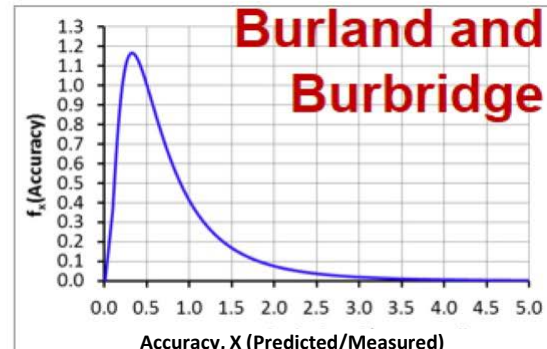
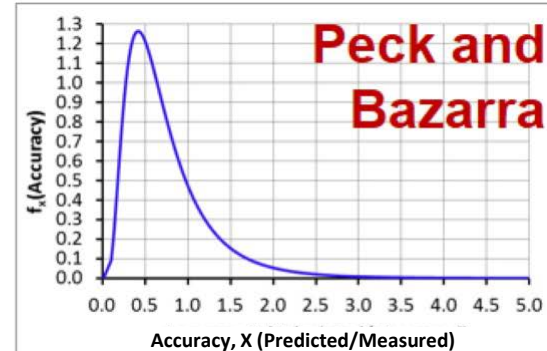
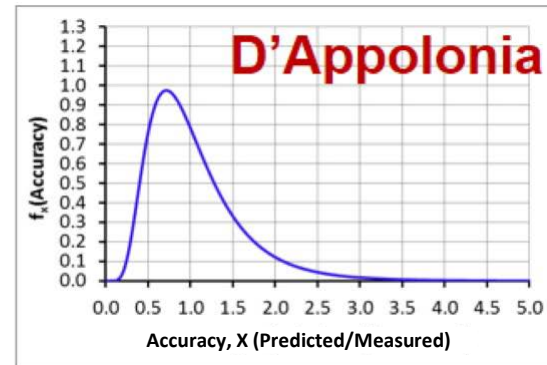
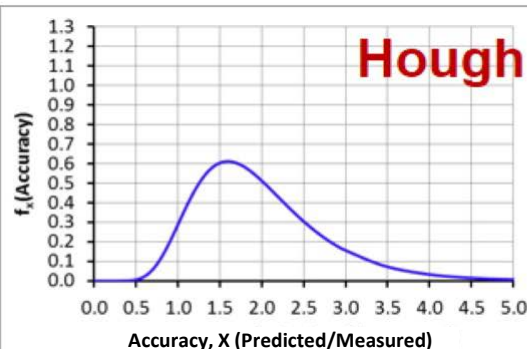
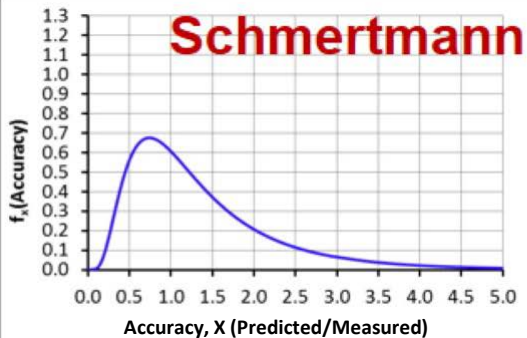
Histograms for  
Various Methods  
  
Skewed to the right



# Non-Normal Data

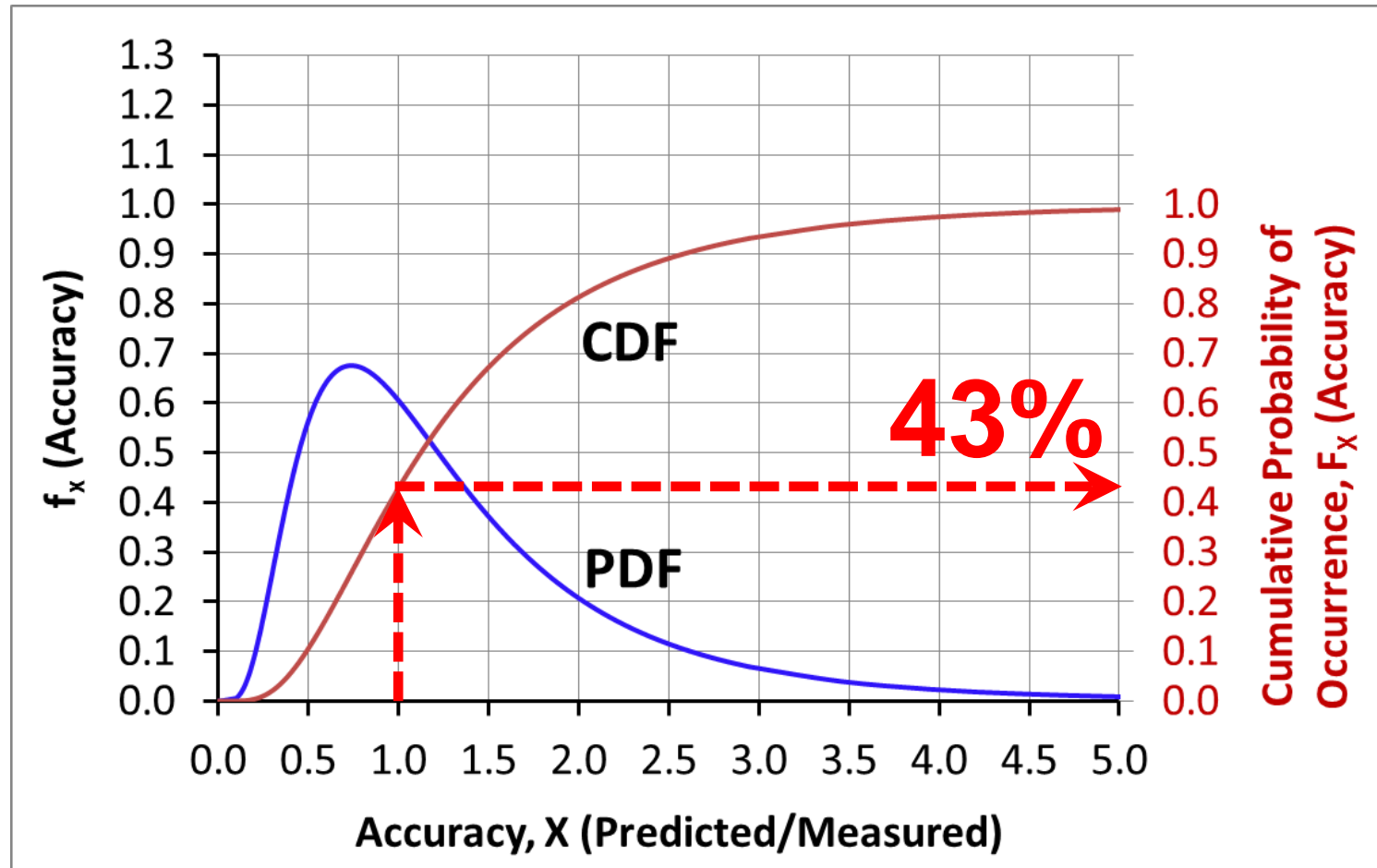
PDF's skewed to the right (reverse-J)

Use Lognormal PDF to be consistent with LRFD practice thus far



# Convert PDF to CDF

## Example: Schmertmann

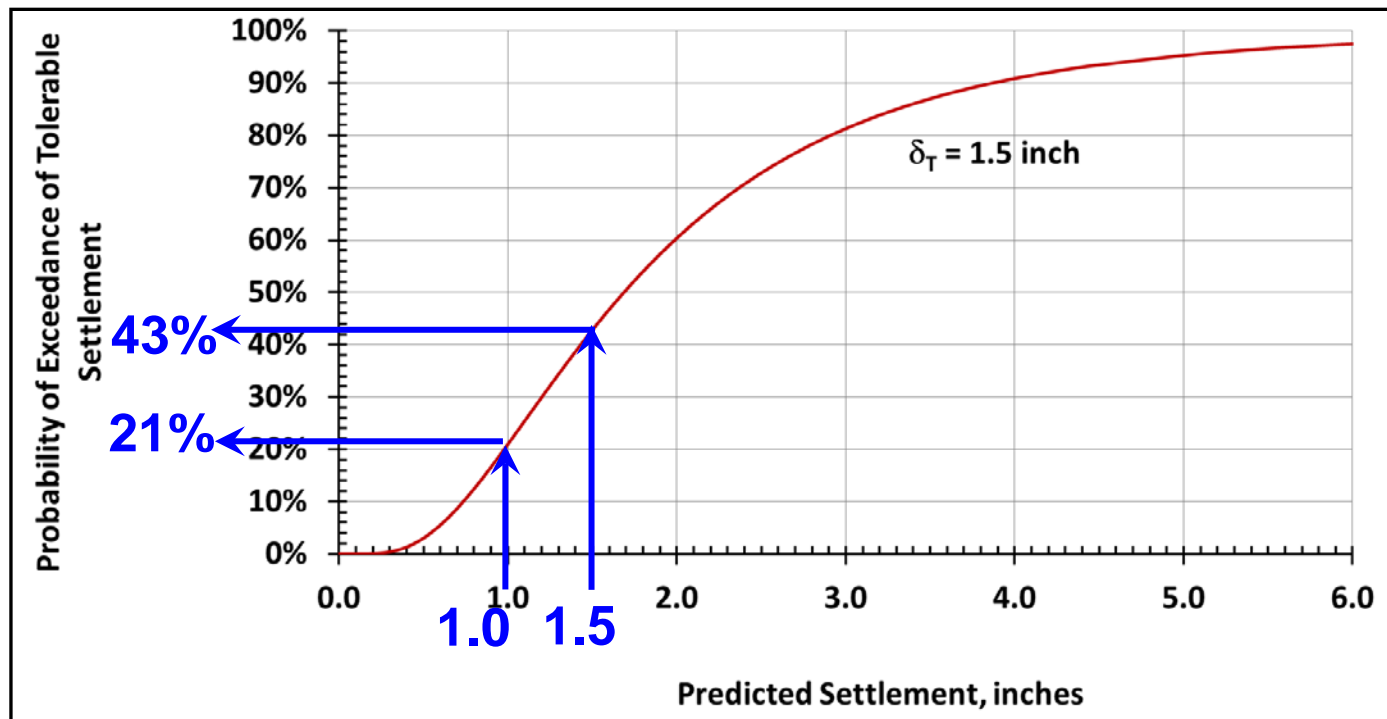
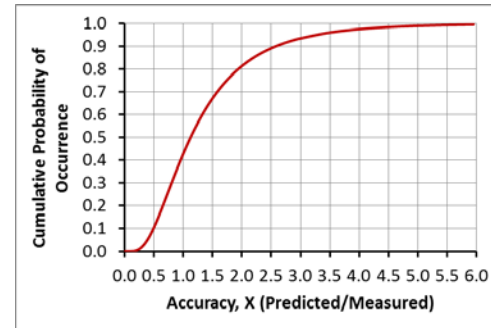
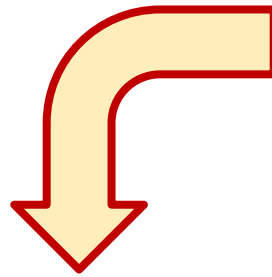


PDF: Probability Distribution Function; CDF: Cumulative Distribution Function

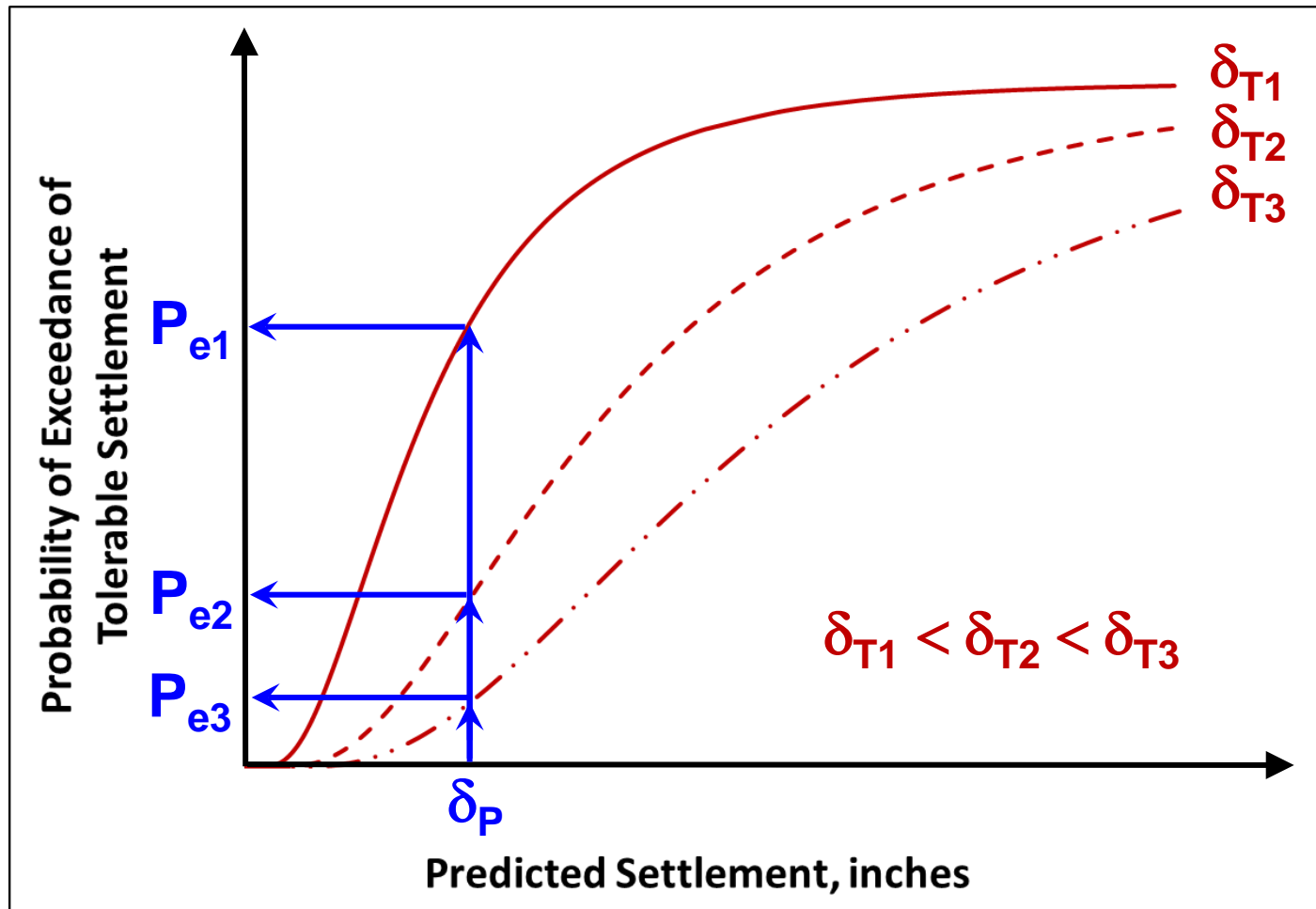


# Generate Probability Exceedance Chart (PEC) from CDF

**Example:  
Schmertmann**



# PEC with Family of Curves



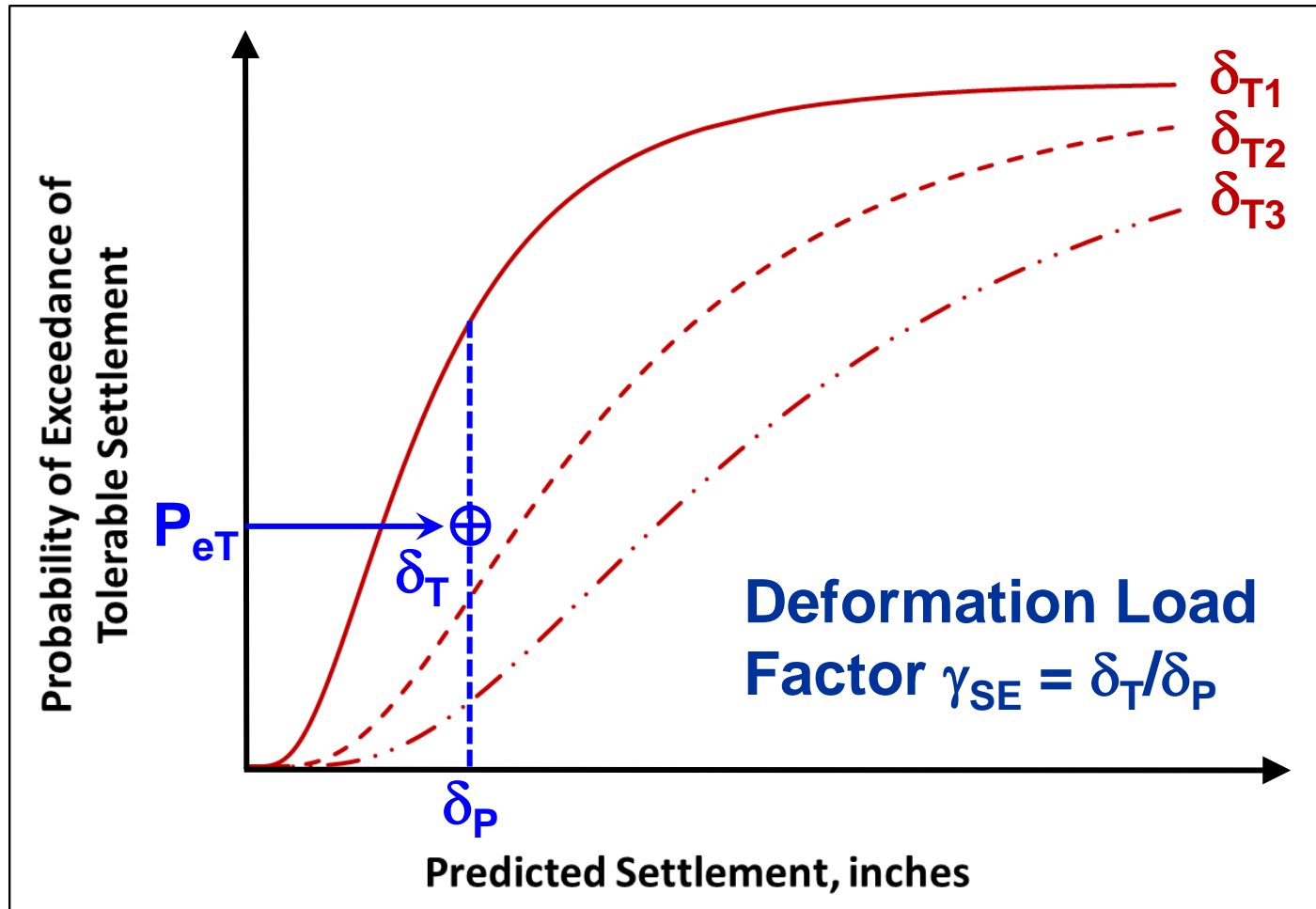
# Probability of Exceedance, $P_e$ , For Structural Limit States

Limit State	Target Reliability Index, $\beta_T$	Approx $P_e$ (Note 1)
Fatigue I and Fatigue II limit states for steel components	1.0	16%
Fatigue I for compression in concrete and tension in reinforcement	0.9 (Compression) 1.1 (Tension)	18% 14%
Tension in prestressed concrete components	1.0 (Normal environment) 1.2 (Severe environment)	16% 11%
Crack control in decks*	1.6 (Class 1) 1.0 (Class 2)	5% 16%
Service II limit state for yielding of steel and for bolt slip*	1.8	4%

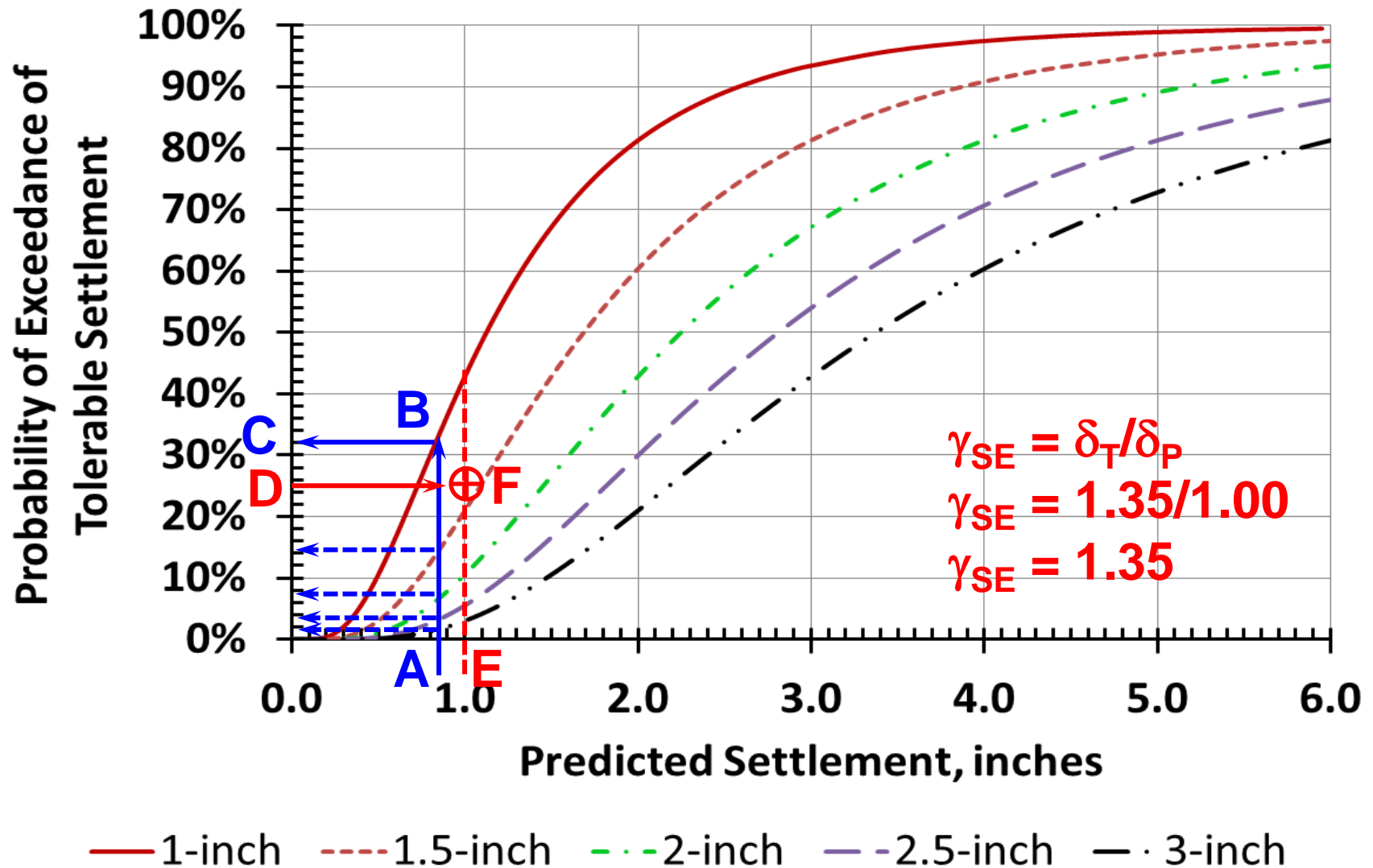
Note 1:  $P_e$  is based on “Normal” Distribution

\* No desire to change

# Load Factor $\gamma_{SE}$



# For Schmertmann Method



# Express $\beta$ in Terms of $P_e$

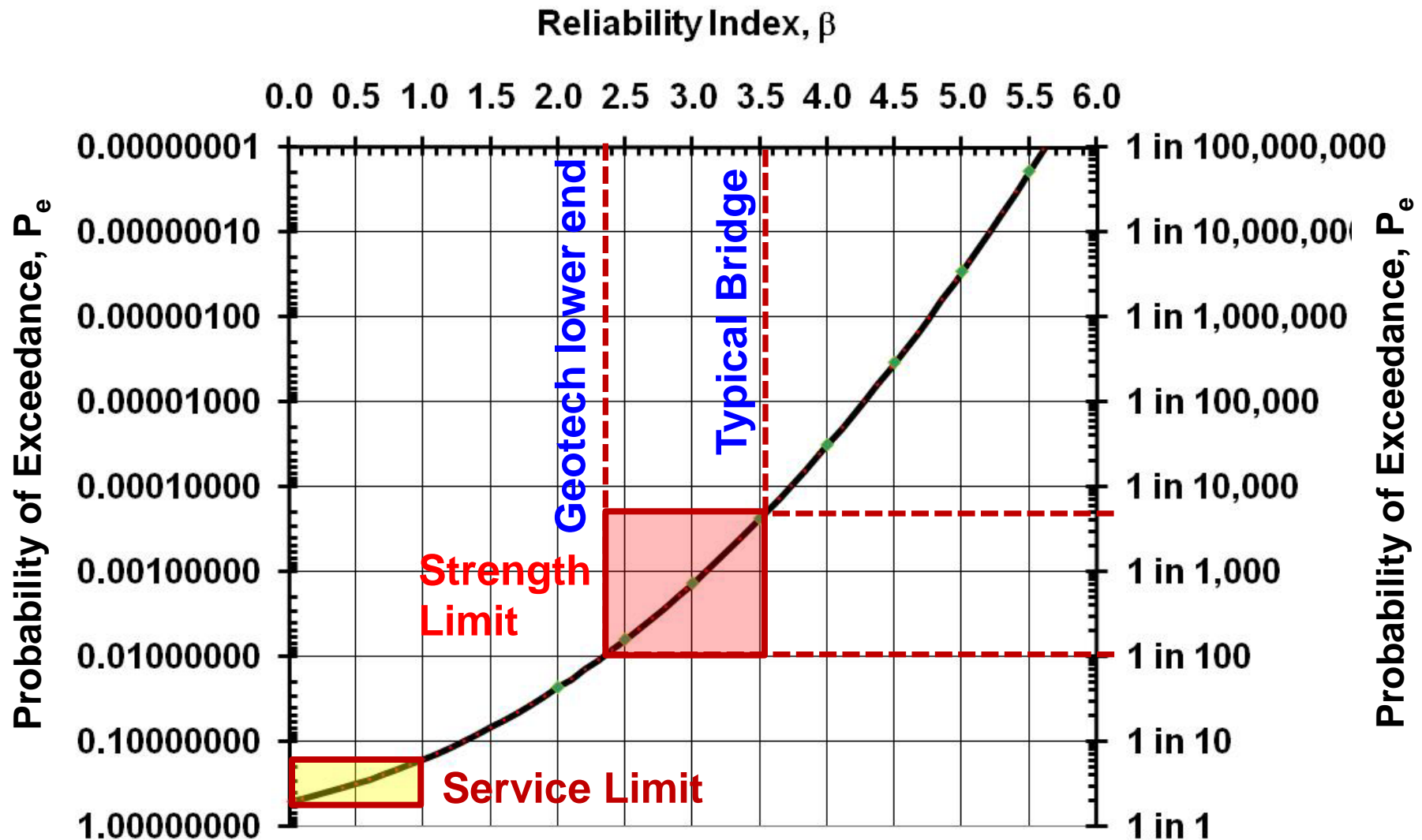
- Conventional definition of  $\beta$

$$\beta = \frac{R_{\text{mean}} - Q_{\text{mean}}}{\sqrt{\sigma_R^2 + \sigma_Q^2}}$$

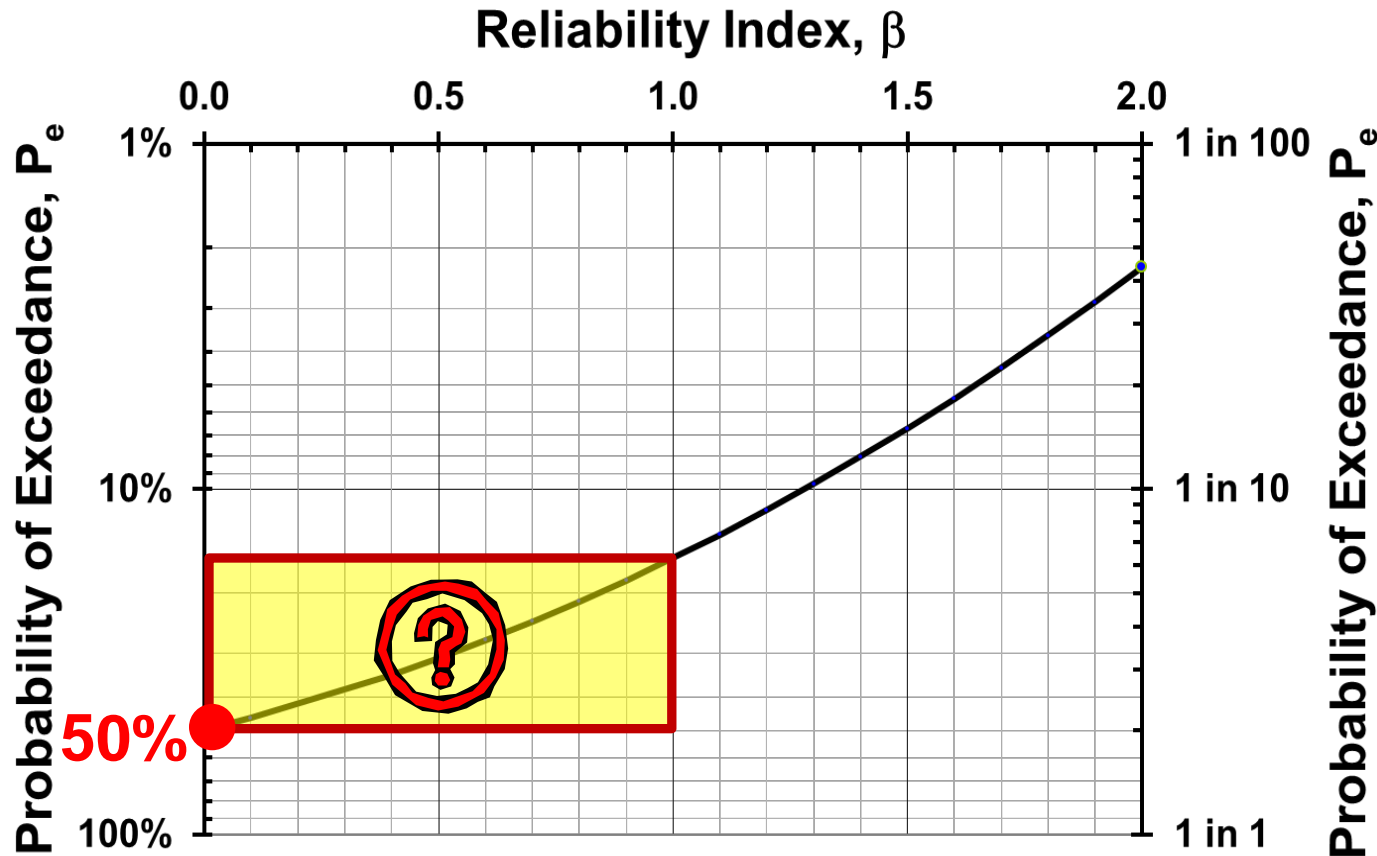
- Using Microsoft Excel, the relationship can be expressed as follows:

$$\beta = \text{NORMSINV}(1 - P_e)$$

# Reliability Index $\beta$ vs $P_e$ for “Normal” Distribution



# What Value of $\beta$ to Use?



What about consequences?



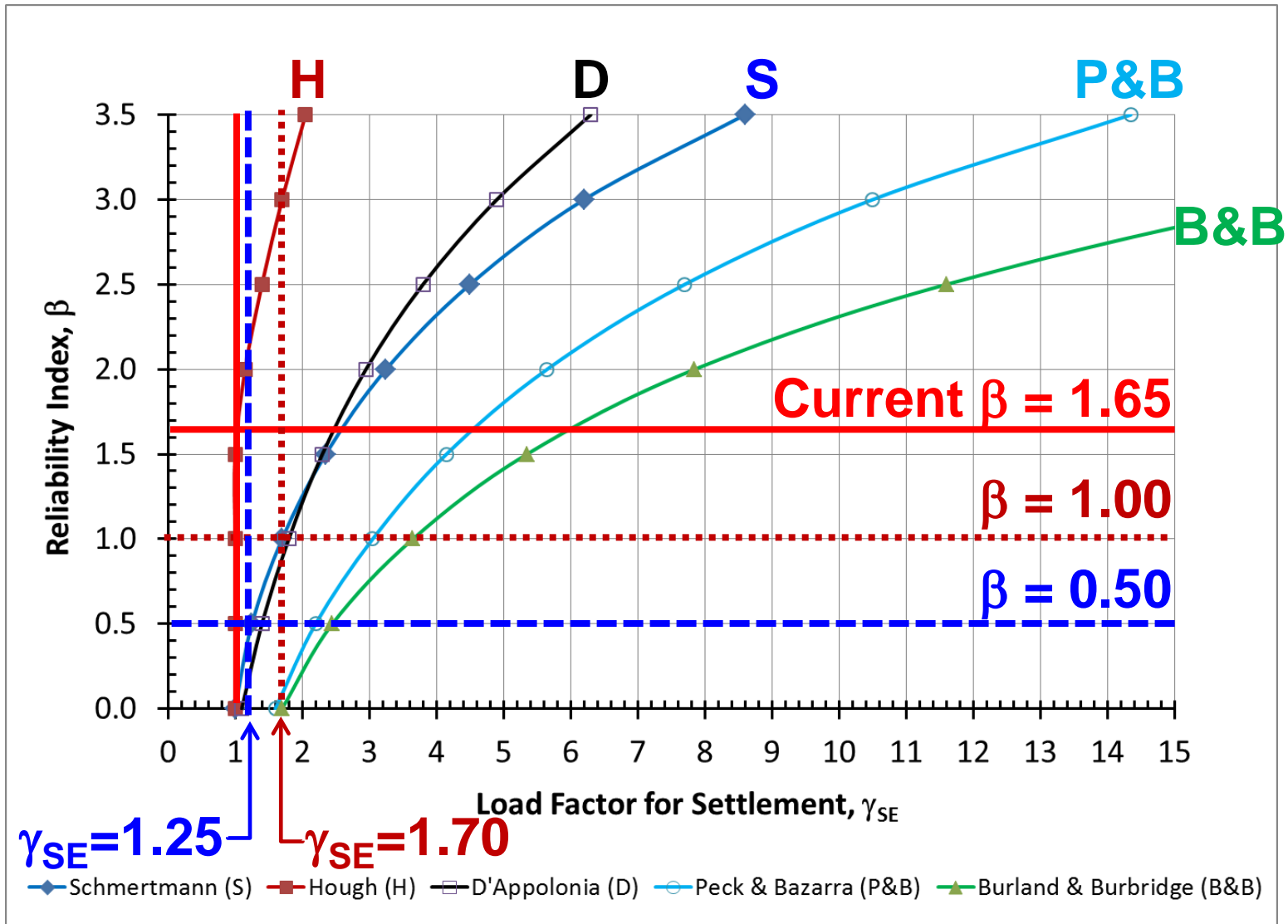
# What Value of $\beta$ to Use?

	$P_e$ , %	$\beta$	$P_e$ , %	$\beta$	$P_e$ , %	$\beta$	$P_e$ , %	$\beta$
<b>B</b>	0.01	3.719	11	1.227	25	0.674	39	0.279
	0.02	3.540	12	1.175	26	0.643	40	0.253
	0.05	3.291	13	1.126	27	0.613	41	0.228
	0.1	3.090	14	1.080	28	0.583	42	0.202
	1	2.326	15	1.036	29	0.553	43	0.176
<b>G</b>	2	2.050	16	0.994	30	0.524	44	0.151
	3	1.875	17	0.954	31	0.496	45	0.126
	4	1.750	18	0.915	32	0.468	46	0.100
	5	1.645	19	0.877	33	0.440	47	0.075
	6	1.555	20	0.842	34	0.413	48	0.050
	7	1.476	21	0.806	35	0.387	49	0.025
	8	1.405	22	0.772	36	0.358	50	0.000
	9	1.341	23	0.739	37	0.332		
	10	1.282	24	0.706	38	0.305		
								57

**Irreversible**

**Reversible  
Irreversible**

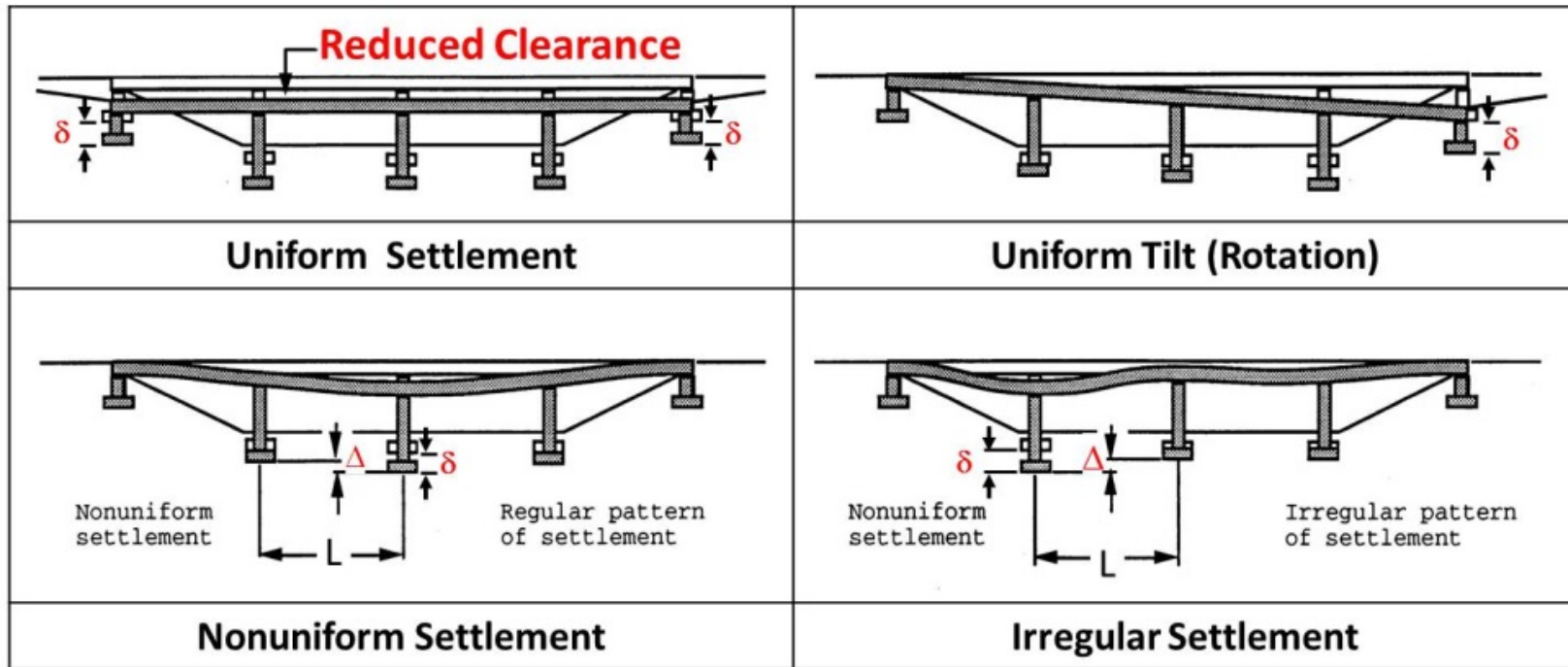
# Selection of $\gamma_{SE}$ Based on $\beta$ Value



# $\beta$ Versus $\gamma_{SE}$ for Various Methods

$\beta$	$\gamma_{SE}$				
	<b>S</b>	<b>H</b>	<b>D</b>	<b>P&amp;B</b>	<b>B&amp;B</b>
0.00	1.00	1.00	1.10	1.60	1.70
0.50	1.25	1.00	1.40	2.20	2.45
1.00	1.70	1.00	1.80	3.05	3.65
1.50	2.35	1.00	2.30	4.15	5.35
2.00	3.25	1.15	2.95	5.65	7.85
2.50	4.50	1.40	3.80	7.70	11.60
3.00	6.20	1.70	4.90	10.50	17.05
3.50	8.60	2.05	6.30	14.35	25.10

# Meaning and Use of $\gamma_{SE}$



- Bridge deck (superstructure) implications
  - Force effect =  $f(EI/L, \Delta/L)$
- Implications for facilities at abutments (e.g., joints, approach slabs, utilities, etc.), roadway grade, and vertical clearance

# Steps to Apply $\gamma_{SE}$ in Design Process

1. Calculate  $\delta$  at each substructure/foundation location using Service I load combination.
2. Select  $\gamma_{SE}$  based on the specific method used for calculation of  $\delta$  at each substructure/foundation location
3. Compute factored deformation,  $\delta_f$ , at each substructure/foundation location.  $\delta_f = \gamma_{SE} (\delta)$
5. Use  $\delta -0$  and construction point concept as applicable to determine factored angular distortion,  $A_{df}$ , within each span,  $L$ . All viable deformed shapes should be evaluated.
6. Are  $\delta_f$  and  $A_{df}$  acceptable?
7. Continue bridge analysis by incorporating the induced force effects due to factored deformation,  $\delta_f$ .

# Induced Force Effects Due to $\gamma_{SE}$

- Deformations generate additional force effects (moments)
  - Load factor of SE is similar to PS, CR, SH, TU, and TG
- The value of  $\gamma_{SE}$  must not be taken literally
  - $\gamma_{SE} = 1.25$  does not mean that the total force effects will increase by 25%
  - $\gamma_{SE}$  is only one component in a load combination
- The additional moments due to effect of deformations are very dependent on the stiffness of the bridge ( $EI/L$ ) as well as the angular distortion ( $\Delta/L$ )

# Results of Limited Parametric Study



- Several 2- and 3-span steel and pre-stressed concrete continuous bridges from NCHRP Project 12-78.
  - Considered full angular distortion (Moulton's criteria)
- Finding: An increase in factored Strength I moments on the order of as little as 10% for the more flexible units to more than double the moment from only factored dead and live load moments for the stiffer units.
  - Finding is based on elastic analysis and without consideration of creep, which could significantly reduce the moments, especially for relatively stiff concrete bridges.
  - Additional examples will be developed.

# Effect of Foundation Deformations On Superstructures



- For all bridges, stiffness should be appropriate to considered limit state.
- The effect of continuity with the substructure should be considered.
- Consider all viable deformation shapes.
- For concrete bridges, the determination of the stiffness of the bridge components should consider the effect of cracking, creep, and other inelastic responses.



# Proposed Modifications To AASHTO



- Article 10.5.2 – “Service Limit States”
- Article 10.5.2 is cross-referenced in articles for various foundations types such as spread footings, driven piles, drilled shafts, micropiles, retaining walls, joints, etc.
- Making change in Article 10.5.2 will permeate through all the relevant sections of AASHTO.

# Section 3, Table 3.4.1-3

Bridge Component	PS	CR, SH
Superstructures—Segmental Concrete Substructures supporting Segmental Superstructures (see 3.12.4, 3.12.5)	1.0	See $\gamma_P$ for DC, Table 3.4.1-2
Concrete Superstructures—Non-Segmental	1.0	1.0
Substructures supporting Non-Segmental Superstructures		
• using $I_g$	0.5	0.5
• using $I_{\text{effective}}$	1.0	1.0
Steel Substructures	1.0	1.0

- Include the  $\gamma_{SE}$  in above table or develop a similar table

# Section 3, New Table 3.4.1-4 for $\gamma_{SE}$

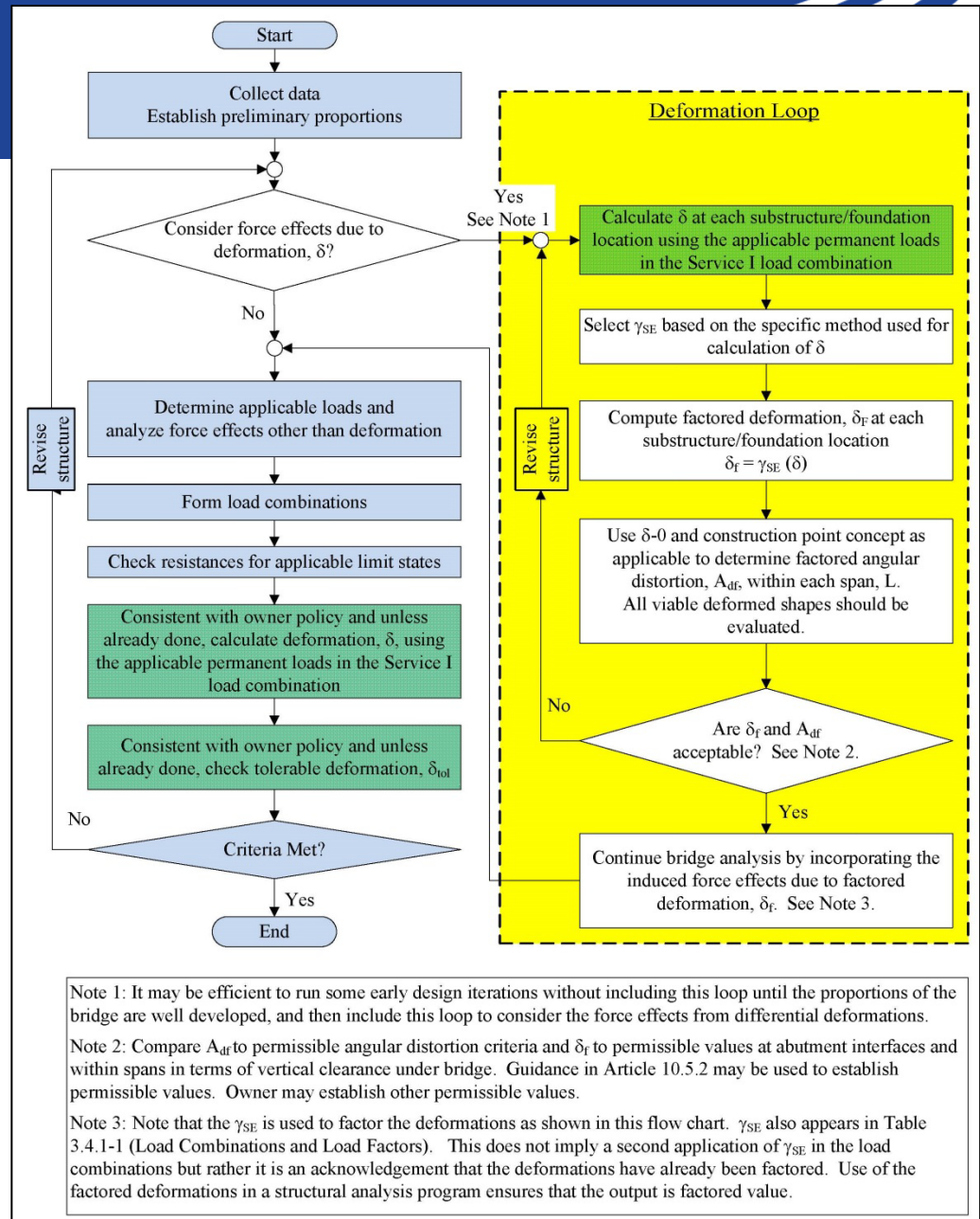
Deformation	SE (Note 1)
Immediate Settlement <ul style="list-style-type: none"> <li>• Hough method</li> <li>• Schmertmann method</li> <li>• Local method</li> </ul>	1.00/1.00 1.25/1.70 *
Consolidation settlement	1.00/1.00
Lateral deformation <ul style="list-style-type: none"> <li>• P-y or SWM soil-structure interaction method</li> <li>• Local method</li> </ul>	1.25/1.70 *
Note 1: Smaller value used when deformation is easily reversible. Larger value used when structures are difficult to either modify or adjust to re-establish roadway grade. *To be determined by the Owner based on local geologic conditions and calibration using a target reliability index of 0.50 and 1.00 for Service I limit state.	

# For Consideration by T-5/T-15

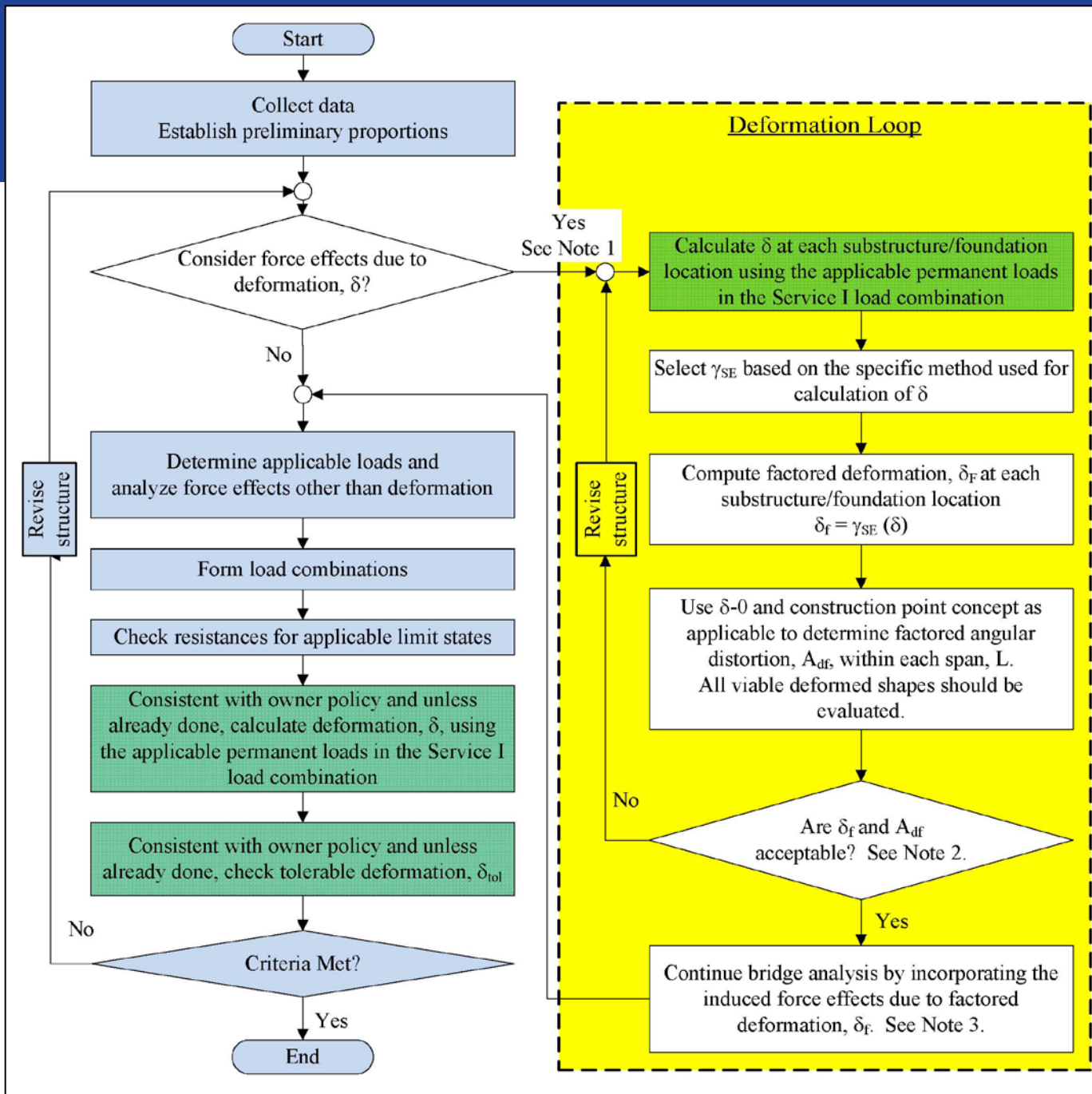
- Modification to Sections 3 and 10 to implement recommendations ready for:
  - Deformation Load factors,  $\gamma_{SE}$
  - $\delta - 0$  concept with construction point and estimation of **relevant** deformation values
  - Schmertmann method
  - Commentaries
  - Updated references

# Implementation Tools

- Several examples
- White paper
- Flow Chart
- Proposed LRFD specification revisions and commentaries
- SHRP2 Round 7 Implementation Assistance Program (IAP)



# Flow Chart



# Closing Comments

- Consideration of foundation deformations in bridge design is not new.
- The uncertainty in predicted deformations can now be quantified through the mechanism of SE load factor,  $\gamma_{SE}$ .
- The calibration process is general and can be applied to any foundation or wall type and any type of deformation.
- Microsoft Excel®-based calibration processes have been developed.
- Framework for inclusion of future calibrations is provided through proposed Table 3.4.1-4 for  $\gamma_{SE}$ .

# Closing Comments



- Tools for implementation are available.
  - SHRP2 Round 7 Implementation Assistance Program (IAP)
    - Application period, April 1 – 29, 2016
    - Informational webinars, February – March 2016
  - Training seminars, TBD



# Questions and Contacts

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Pam Hutton, AASHTO SHRP2 Implementation Manager, [phutton@aaashto.org](mailto:phutton@aaashto.org)
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<http://SHRP2.transportation.org> or <https://www.fhwa.dot.gov/goshrp2>